

Commissions Data: SEC N-CEN Filing

Registered Investment Companies Disclosures of Largest Dollar Amount Brokerage Commissions

This dataset includes dollar amount commissions paid to brokers by registered investment companies. The data are reported annually – each fund lists the top 10 brokers they paid for brokerage commissions (excluding dealer concessions in underwritings). Data are aggregated across all funds for each investment company to provide a view into commissions paid.

Wallet Analysis

This dataset provides a valuable source to assist brokers in performing wallet share analysis – offering insight to relative ranks and strengths of relationship at both the Firm and Fund level.

Investor Profiles

Commissions paid can be viewed in three ways:

- Summary View
- By Broker
- By Fund

KEY STATS

1,000+
Investment managers reporting

10,000+
Funds reporting

600+
Brokers paid

\$4Bn
Tracked in annual Commissions Paid

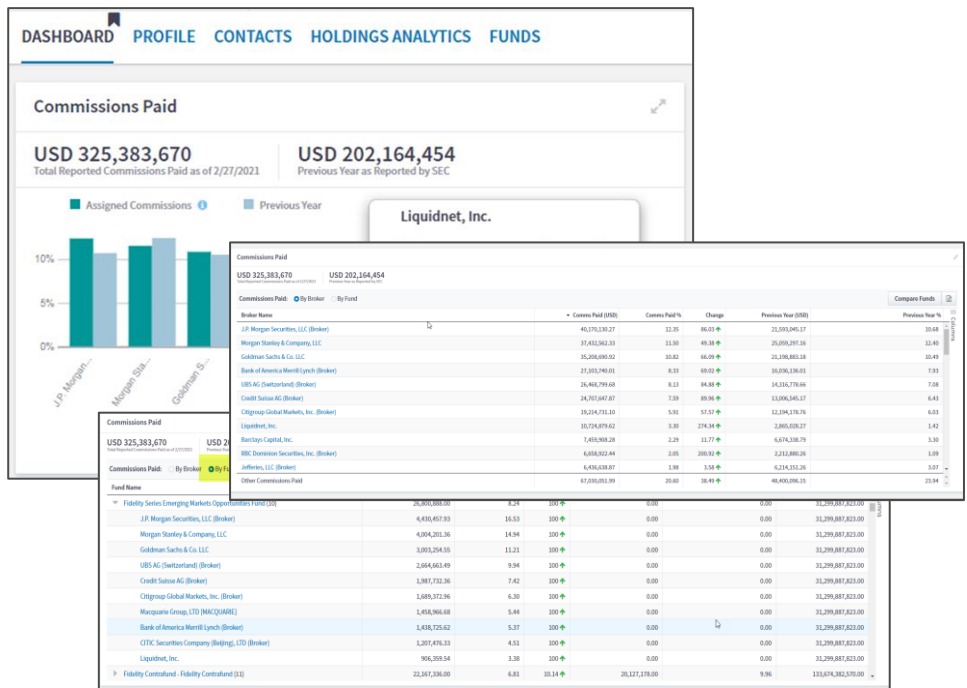
KEY BENEFITS

Wallet analysis
Direct broker-to-broker comparison

Competitive analysis

For more information on the products and services from

IHS Markit, please contact us at sales@ihsmarkit.com



Compare Brokers by Fund

An exciting feature allows you to compare up to three brokers side-by-side. Strategists can compare brokers to competitors, comparing firms to seek opportunities for growth.

Fidelity Management & Research Company, LLC
 Investment Manager-Mutual Fund Growth Equity, Fixed Income, Derivatives | +1 617-563-7000 | 245 Summer St., 14th Fl., Boston, Massachusetts, 02210, United States
 Compliance Certificate

2,825,281 (USD, mm) 29% 90% 1.62 (USD, mm) ↑6.18%
 Reported Total Assets 5/27/2021 EQ Turnover FI Turnover Commissions

DASHBOARD PROFILE CONTACTS HOLDINGS ANALYTICS FUNDS

Compare Funds

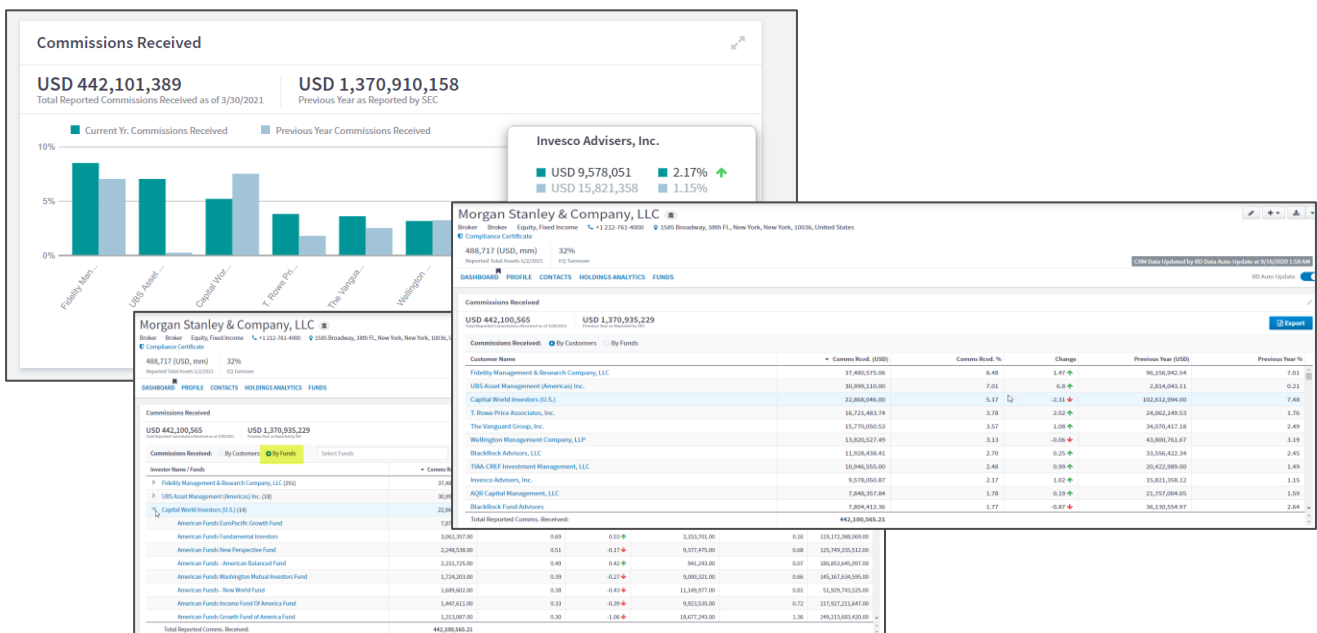
Search Funds: Show previous year Add Broker Export

Fund	Total(USD)	Barclays Capital, Inc.			Citigroup Global Markets, Inc. (Broker)			Credit Suisse Securities (USA), LLC (Broker)		
		Comms Paid (USD)	Comms Paid %	Change	Comms Paid (USD)	Comms Paid %	Change	Comms Paid (USD)	Comms Paid %	Change
Fidelity Series Emerging Markets Opportunities F...	26,800,888.00	n/a	n/a	n/a	1,689,372.96	6.30	100 ↑	n/a	n/a	n/a
Fidelity Contrafund - Fidelity Contrafund	22,167,336.00	n/a	n/a	n/a	1,271,494.42	5.74	-22.19 ↓	n/a	n/a	n/a
Fidelity Balanced Fund	13,352,413.00	509,877.99	3.82	-7.17 ↓	928,083.73	6.95	29.11 ↑	n/a	n/a	n/a
Fidelity Investment Trust - Fidelity Series Oversea...	9,548,314.00	395,662.75	4.14	100 ↑	402,197.68	4.21	100 ↑	n/a	n/a	n/a
Fidelity Series International Value Fund	9,351,631.00	n/a	n/a	n/a	535,672.44	5.73	100 ↑	n/a	n/a	n/a
Fidelity Blue Chip Growth Fund	9,193,734.00	276,708.39	3.01	-17.87 ↓	697,194.62	7.58	36.8 ↑	n/a	n/a	n/a
Fidelity Advisor International Capital Appreciati...	8,585,526.00	n/a	n/a	n/a	448,761.28	5.23	60.82 ↑	n/a	n/a	n/a
Fidelity International Capital Appreciation Fund	8,026,928.00	n/a	n/a	n/a	400,032.17	4.98	100 ↑	n/a	n/a	n/a
Fidelity Advisor New Insights Fund	7,407,960.00	212,558.29	2.87	11.26 ↑	316,869.35	4.28	-35.37 ↓	n/a	n/a	n/a
Fidelity Diversified International Fund	6,672,358.00	n/a	n/a	n/a	448,572.31	6.72	100 ↑	n/a	n/a	n/a
Fidelity Series International Growth Fund	6,441,067.00	n/a	n/a	n/a	364,844.48	5.66	100 ↑	n/a	n/a	n/a
Strategic Advisers Fidelity U.S. Total Stock Fund	6,430,142.00	312,327.62	4.86	100 ↑	421,164.56	6.55	100 ↑	n/a	n/a	n/a

Broker Profiles

Commissions received can be viewed in three ways:

- Summary View
- By Investment Manager
- By Fund



More information on IHS Markit products and services

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