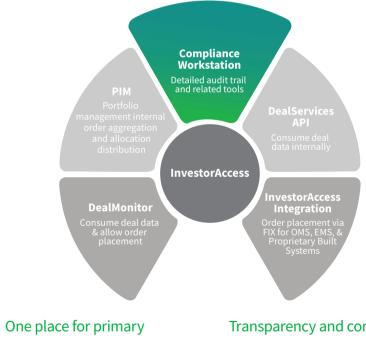


ComplianceWorkstation

Our ComplianceWorkstation offers a single view of all primary market activity, from our DealMonitor application. This spans across all supported activities from Portfolio Managers placing internal aggregations of orders, to Traders consolidating & submitting external orders to brokers. This gives your company a transparent view of all your primary operations.

Currently the primary issuance workflow to many buyside firms, requires monitoring via multiple channels. Our DealMonitor platform offers a consolidated place for primary issuance, with a direct link to the sellside brokers you have trading lines with. With your colleagues in one place, the ComplianceWorkstation can see every message/deal detail/trade/allocation within one place, and easily extract the information via API for downstream consumption. This gives companies the control and transparency to oversee operations, without slowing the fast-paced environment that is the Primary Markets.



giving a workstation that oversees all traffic.

Exportable information

which can be consumed downstream via API

Transparency and control

that doesn't impeach on Traders and Portfolio Manager

Orders & Allocations

visible as they are placed, and as they received from the sellside broker

KEY STATS

95%

EMEA Primary market ran over DealMonitor

80%

APAC Primary market ran over DealMonitor

CUSTOMERS

Compliance Officers

More information on **Compliance Workstation**

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