Corporate Actions

Mitigating risk and enhancing operational efficiency by automating the entire corporate actions operational process.

The IHS Markit Corporate Actions solution supports the end-to-end automation of corporate actions and income processing, right from announcement capture and validation to complete downstream processing.

The operational process for corporate actions is complex and laden with risks. The market and event type-based variations in the transactions coupled with manual tasks, lack of standardization and deadline driven nature often result in errors. These errors range from incorrect information dissemination to missed or incorrect elections, and can lead to significant financial loss, as well as regulatory and reputational impact to financial institutions.

The IHS Markit Corporate Actions solution offers an extensive range of functional features with a global coverage of major events, markets and asset classes to increase productivity and focus on delivering value-added services, along with reducing risk by providing real time monitoring and control. Operations staff can easily view and prioritize tasks via user-friendly management dashboards that provide real time risk profiles of upcoming corporate actions events and deadlines. A multi-entity structure supporting different business segments allows firms to decide which operational functions to perform at a local level and which to centralize offering benefits of cost mutualization.

The Corporate Actions solution is available on our managed hosted service, enabling customers to optimize infrastructure and minimize costs; while benefiting from a strategic, security-centric, SOC-certified solution.

This highly available fault-tolerant service is built on layers of technology that delivers scalability and security requirements to meet the needs of our customers. The service includes management of all hardware infrastructure and software stack, proactive monitoring and alerting, and data replication and backup.

Manage operational risk
View real time risk exposure through quantified risk scores based on the complexity and criticality of a corporate action, highlighted to prompt a user to take action

Scalable and flexible architecture
Grows with your business to support increasing trading and corporate actions volumes and complexity, while offering configurability in business processes and operational workflows with multi-x capabilities

Comprehensive coverage
Ability to provide a global coverage by supporting a wide range of markets, event types and asset classes for custodians, asset managers, wealth managers, investment banks and broker-dealers

Enhanced operational process
Manage workflow and assign tasks through dashboards that display tasks across the corporate action process to appropriate users with due dates based on the key date of an event
Informed decision-making
Supports informed investment and risk decisions through analytics such as "in/out of the money" indicators and "what-if" scenarios in the election channel

Lowering long term TCO
Single code base solution shared across customers to deliver a more cost-effective solution over time

The IHS Markit Corporate Actions solution

<table>
<thead>
<tr>
<th>IMConnecting</th>
<th>Internal applications</th>
<th>Market data</th>
<th>CA data</th>
</tr>
</thead>
<tbody>
<tr>
<td>Corporate action election management tool</td>
<td>Core banking, general ledger, accounting system</td>
<td>Security data and pricing feeds</td>
<td>ISO 15022/20022 Proprietary CA templates (manual)</td>
</tr>
</tbody>
</table>

| | Announcement capture and validation | Event maintenance |
| | Position and trade management | Notifications |
| | Responses and instruction | Reconciliations |
| | Cash and stock allocations | Taxes and claims |

Risk and workflow dashboard
- Business rules
- Standing instructions
- Security master file
- Entity/client maintenance
- Audit
- Reporting

Business intelligence and analytics
Query corporate action data and create reports without the need for specialized database skills or IT resources through the optional analytical component that combines a search engine with visualization

Connectivity
Flexible integration across data vendors, front-middle and back office systems. Out of the box integration with the IHS Markit Managed Corporate Actions data feed providing best in class validated corporate actions data