IHS Markit®

Corporate Actions SaaS

A corporate actions processing solution for tomorrow, today

Corporate Actions SaaS is a cloud-based, highly-automated solution, which gives your business the control to manage corporate actions processing seamlessly, regardless of external market complexities or event risk.

The solution is underpinned by the core IHS Markit corporate actions processing product - a mature offering built on a foundation of extensive industry knowledge and client insights.

Corporate actions industry challenges ...

Data Inconsistencies

Inconsistent data provisioned from vendors resulting in increased overhead and risk

Low Automation

Delayed communication and receipt of investment decisions

Instruction Risk

Operations election processing and exposure from missed elections

...addressed

Effective Data Management
- Intelligent data analytics and scrubbing to create golden copy
- Integrated corporate actions data and workflow management

Automated operational processes
- UX dashboards to guide users to the highest priority tasks
- Plug and play configuration optimized for industry best practice event processing

Enhanced Risk Management & Reporting
- Focus your team on a prioritized workload with smart risk classification and scoring
- Digital web channel option for automated election capture with enhanced decision maker experience

IHS Markit has made significant investment in delivering a solution which meets the demands of today's corporate actions environment.

Through regular collaboration with our clients, we continually expand the solution to meet the ever-evolving challenges of corporate actions processing. We have a strategic roadmap and investment commitment for next year and beyond.

The service

Increased operational efficiency, high levels of automation, risk mitigation with dynamic classification and scoring.

An enhanced user experience...

Contextualized operations data

Intelligent task and alert management

Enhanced decision maker experience

...with critical features & functionality

Corporate Actions Validation Service

provides data from a dedicated team of seasoned corporate actions experts validating data covering 4 million securities across 1.5 million active events using 200+ sources.

Event & Risk Management

Event diary alerts and risk tracking ensures operations can focus on complex events, while enabling STP for more straightforward events. Implementation is accelerated through preconfigured business rules based on local corporate actions knowledge and best market practice.

Eligibility & Reconciliation

The Corporate Actions SaaS solution determines eligibility across all corporate actions events including mandatory, choice and voluntary events. Full SWIFT compliance enables reconciliation between balances and custodians' positions

Notification

Automated event notification and reminders are delivered in a timely manner via preconfigured email templates.

Corporate Actions Election Execution

A cutting-edge, real-time election tool with features such as dynamic risk-based visual dashboards and what-if analysis. This aids in the process of performing and tracking corporate actions elections any time.
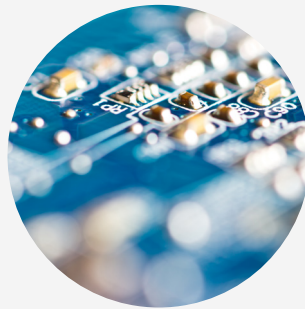
Instruction Submittal

The risk of missing instructions to custodians is alleviated through instruction processing alerts. Instructions are submitted on time and can be generated in the ISO 15022 and 20022 formats along with fax and email.

Onboarding –
deploy for success

A streamlined
onboarding
model reducing
client resourcing
constraints by
delivering a highly
configured service
supported by test
scripts and model
office testing.

Integration
adapters ready
(Provided Security
Master)

Deliver highly
configured service
to meet client
business needs

Onboarding Timeline

A clearly defined onboarding
process ensures that moving
onto Corporate Actions
SaaS can be completed via
accelerated timelines.

Onboarding Initiation

– Assigned onboarding
  team

– Onboarding workshop &
  target workflow training

Business
benefits &
value-added
features

Model office
testing with local
support. Software
acceptance

Migration
and go-live.

Configuration and Integration Validation

– Plug-and-play ready integration adapters

– Configured solution validation

– Test scripts provided

Model Office Testing

– Parallel run

– Further training and client support

Go-Live

– Production migration

– Ongoing support

– Health checks

Corporate Actions SaaS

Streamline your corporate actions event processing.

Our SaaS solution delivers:

– Effective data management

– Exception-driven diary

– Automated operational processes

– Dynamic risk management

– Seamless instruction management

– Industry-leading user experience

– Streamlined onboarding model

– Proactive monitoring of hosting

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About IHS Markit

IHS Markit (NYSE: INFO) is a world leader in critical information, analytics and solutions for the major industries and markets that drive economies worldwide. The company delivers next-generation information, analytics and solutions to customers in business, finance and government, improving their operational efficiency and providing deep insights that lead to well-informed, confident decisions. IHS Markit has more than 50,000 key business and government customers, including 80 percent of the Fortune Global 500 and the world's leading financial institutions. Headquartered in London, IHS Markit is committed to sustainable, profitable growth.

CUSTOMER CARE

NORTH AND SOUTH AMERICA
T +1 800 447 2273
  +1 303 858 6187 (Outside US/Canada)

EUROPE, MIDDLE EAST AND AFRICA
T +44 1344 328 300

ASIA PACIFIC
T +604 291 3600

E CustomerCare@ihsmarkit.com