



IHS Markit®

# SFTR

## Securities Financing Transactions Regulation

End to end regulatory reporting solutions



As part of the policies identified by the Financial Stability Board to increase transparency across securities financing transactions (SFTs), the EU introduced the **Securities Financing Transaction Regulation (SFTR)**.

SFTR includes new rules compelling market participants to report all SFTs to an approved trade repository and radically restructure their data architecture – integrating numerous and often disparate, data sources to enhance transparency.



# Participants in scope

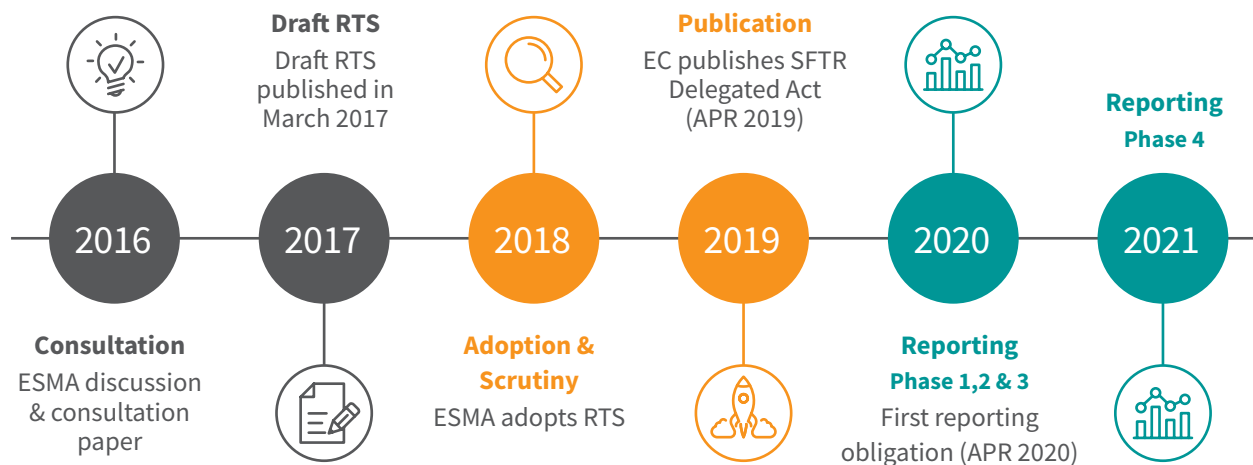
Financial counterparties

Non-financial counterparties

EU-based entities (including their non-EU-based branches)

Non-EU entities (where the transaction is concluded by an EU-based branch)

## SFTR Reporting Timeline



### First reporting obligation:

13 JUL 2020	Phase 1	Investment firms and Credit institutions
13 JUL 2020	Phase 2	CCPs and CSDs
11 OCT 2020	Phase 3	Insurance, UCITS, AIF & Pensions
11 JAN 2021	Phase 4	Non financial companies

# How IHS Markit can help you comply with SFTR

Our SFTR solution provides the foundation needed to reconcile trading activity down to the UTI and LEI level of granularity, setting an industry wide standard.

In addition, our outreach solution provides efficiency by enabling you to collect client information once and share with all counterparties who use Outreach 360 for their reporting needs.



# SFTR Solution

## Full End-to-End Solution

Complete solution for SFTR reporting and data retention



## Regulation Coverage

Single solution to cover both SFTR and MiFID regulations



## Real Time Processing

Data feeds, processing amendments, enrichment and matching in real time



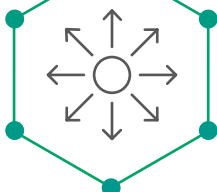
## Dedicated Support

Seamless integration and implementation with a dedicated contact



## Connectivity

Connected to every trade repository



## Modular Construction

Flexibility to meet your individual needs and structure



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# Outreach 360

IHS Markit's outreach solution for SFTR is a utility model, enabling you to collect client information once, which can be shared with all counterparties who use Outreach360 for their reporting needs.

Our utility solution provides a single platform for outreach campaigns to your full population of in-scope counterparties. Client recipients will only need to complete the industry agreed SFTR Questionnaire once, and permission individual banks who use Outreach360 to this information. The utility will be a golden source of SFTR data where counterparties can update their responses and classification as needed. Counterparties using the Outreach360 platform will be able to access information permissioned to them via reports and API connectivity.

## Key features:



### Simplified client experience

Access information easily, respond to questions and securely permission the information to all relevant counterparty brokers that use the Outreach360 platform



### Single golden source of data

Create a centralized data set that can be maintained by clients and fed to counterparty brokers via Excel, flat file or API



### Economies of scale

A standardized process from a highly experienced outreach team results in an overall reduced cost of service



### Subject matter experts

Leverage our extensive expertise in SFTR and other regulatory requirements including EMIR Refit, MiFID II, US Stay Protocol and others to solve your client outreach challenges

# EDM

Our Enterprise Data Management (EDM) platform creates a centralized hub of validated data to facilitate compliance with SFTR and other regulatory reporting regimes.

## Robust data management

Aggregation, validation, matching, augmentation and governance functionality gives you confidence in the quality of the data you report.

## Transparency and control

Dashboards and workflows deliver comprehensive visibility of the entire data cycle, from original source to ultimate submission to the regulator. If an issue is detected, you can correct it at the source, ensuring reporting obligations are met.

## Shorter time to market

EDM can be deployed as a managed service, hosted on the Amazon Web Services (AWS) cloud. This reduces time to market, maximizes flexibility, and eliminates many of the costs associated with on-site development or deployment.

## Compliance

The field spec of EDM's SFTR solution will be kept in line with changes from ESMA after reporting goes live, easing the burden of maintaining compliance for clients.

## Peer group expertise

The EDM managed service has been developed in collaboration with our clients, allowing you to benefit from peer group expertise. Ongoing peer reviews facilitate continuous service improvement and support for future regulatory requirements.

For more information on how IHS Markit can help you meet your regulatory obligations, please contact:

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[ihsmarkit.com](https://www.ihsmarkit.com)

## About IHS Markit

IHS Markit (NYSE: INFO) is a world leader in critical information, analytics and expertise to forge solutions for the major industries and markets that drive economies worldwide. The company delivers next-generation information, analytics and solutions to customers in business, finance and government, improving their operational efficiency and providing deep insights that lead to well-informed, confident decisions. IHS Markit has more than 50,000 business and government customers, including 80 percent of the Fortune Global 500 and the world's leading financial institutions. Headquartered in London, IHS Markit is committed to sustainable, profitable growth.

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