The Compliance Check Portal helps you to independently monitor your lending parameters, as detailed within your SLA (Securities Lending Agreement) with your lending agent. Our solution analyses your lending data to identify any exceptions that are in potential breach of your lending parameters.

Our solution provides time efficiencies and areas of focus to allow you to quickly detect a potential risk, by identifying exceptions with your loan transactions and allowing you to promptly resolve any issues with your lending agent.

Exceptions are reported at the Transaction level / Instrument Level / Counterpart level based on the pre-agreed parameters.

The check can be tailored to your lending parameters, including:

- Market/Asset Class
- Counterparts
- Individual instruments
- Limits on Loans, Lendable and Utilization
- Spread/Fee
- Liquidity levels against market data
- Tenure (duration of loan)
- Trade Type (open vs. term)
- Collateral Type (cash vs. non-cash)
- Credit Consensus*

**Report Delivery**

Customers will have the flexibility to get the Exception Reporting via:

- Our Securities Finance Web Portal
- Excel Toolkit/API
- Datafeed (once daily)

**Key Stats**

- **$36 trillion**
  Lendable inventory / securities in lending programs
- **$3.2 trillion**
  Value on Loan
- **3 million**
  Daily transactions
- **15+ years**
  Of daily history

**Customers**

- Insurance Companies
- Asset Managers
- Pension Funds

*requires separate permission