



## Securities Finance Compliance Check Reporting

A daily report to help you monitor potential breaches of your lending parameters

The Compliance Check Portal helps you to independently monitor your lending parameters, as detailed within your SLA (Securities Lending Agreement) with your lending agent. Our solution analyses your lending data to identify any exceptions that are in potential breach of your lending parameters.

Our solution provides time efficiencies and areas of focus to allow you to quickly detect a potential risk, by identifying exceptions with your loan transactions and allowing you to promptly resolve any issues with your lending agent.

Exceptions are reported at the Transaction level / Instrument Level / Counterpart level based on the pre-agreed parameters.

The check can be tailored to your lending parameters, including:

- Market/Asset Class
- Counterparts
- Individual instruments
- Limits on Loans, Lendable and Utilization
- Spread/Fee
- Liquidity levels against market data
- Tenure (duration of loan)
- Trade Type (open vs. term)
- Collateral Type (cash vs. non-cash)
- Credit Consensus\*

### Report Delivery

Customers will have the flexibility to get the Exception Reporting via:

- IHS Markit Web Portal
- Excel Toolkit/API
- Datafeed (once daily)

#### KEY STATS

**\$32 trillion**  
Lendable Inventory

**\$3 trillion**  
Value on Loan

**+ 3 million**  
Daily transactions

**16+ years**  
Of daily history

#### CUSTOMERS

**Insurance Companies**

**Asset Managers**

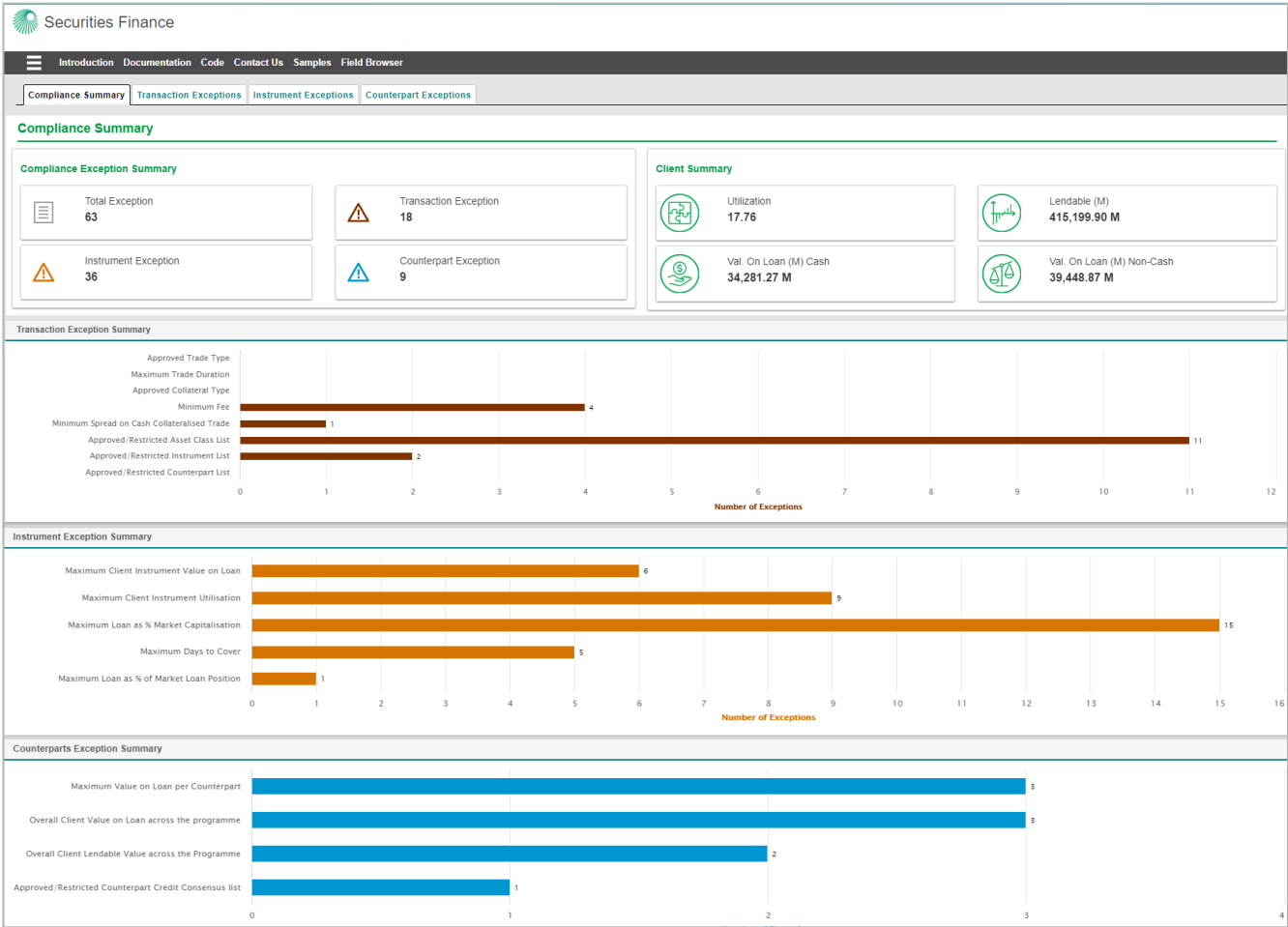
**Pension Funds**

For more information on the products and services from

IHS Markit, please contact us at [sales@ihsmarkit.com](mailto:sales@ihsmarkit.com)

\*requires separate permission

# Securities Finance Web Portal



Our web address is moving to <https://sf.ihsmarkit.com/Portal>. The current web address will be decommissioned from 30 June 2021. Please amend your bookmarks or favorites to new web address

**Compliance Summary** | **Transaction Exceptions** | **Instrument Exceptions** | **Counterpart Exceptions**

**Maximum Client Instrument Value on Loan** | Client criteria: 1.00 B (USD) | Exception Count: 6

Instrument Name	TICKER	Market Area	Value On Loan (M USD)	VWAF (bps)	Lendable Value (M USD)	Utilisation (%)
Visa Inc	V	US Equity (S&P500)	4,932.0		13	15,147.1
Enbridge Inc	ENB	CA Equity (TSX60)	1,128.8		275	4,494.2
Tesla Inc	TSLA	US Equity (S&P500)	1,372.3		12	12,471.3
United States Treasury (1.125% 15-Feb-20...	UST	US Govt Bond (Notes) (Fixed Rate)	1,834.5		9	2,475.6
United States Treasury (0.875% 15-Nov-2...	UST	US Govt Bond (Notes) (Fixed Rate)	1,409.9		10	2,107.5

**Maximum Client Instrument Utilisation** | Client criteria: 90.00 (%) | Exception Count: 9

Instrument Name	TICKER	Market Area	Utilisation (%)	VWAF (bps)	Value On Loan (M USD)	Lendable Value (M USD)
Ishares Ibox \$ High Yield Corporate Bon...	HYG	US ETF	96.50		178	485.2
Spor Bloomberg Barclays High Yield Bond...	JNK	US ETF	93.00		127	260.0
Ishares Lehman 20 Year	TLT	US ETF	90.75		110	135.0
United Kingdom Of Great Britain And Nor...	GBGV	UK Non I/L Dom Govt Bond (Fixed Rate)	90.80		11	395.7
Canada Housing Trust No 1 (1.4% 15-Mar...	CMHT	CAD I.G. Corp Bond (Fixed Rate)	98.75		8	112.1

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