

SFTR Securities Financing Transactions Regulation

End to end regulatory reporting solutions



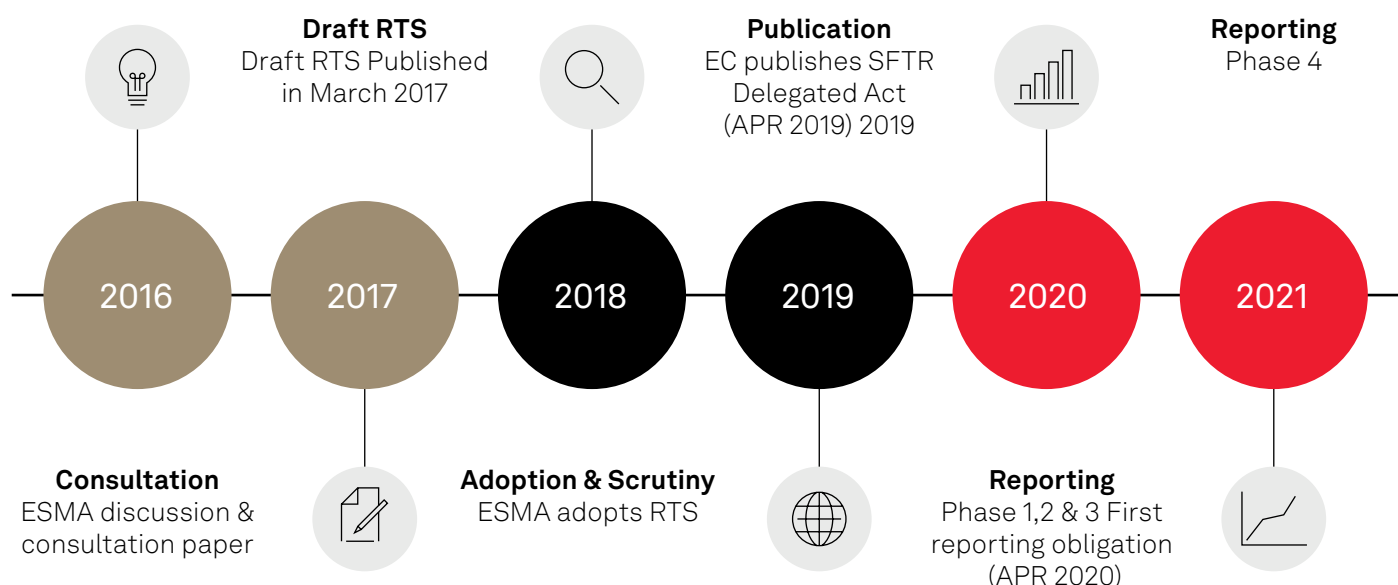
Participants in scope

- Financial counterparties
- Non-financial counterparties
- EU-based entities (including their non-EU-based branches)
- Non-EU entities (where the transaction is concluded by an EU-based branch)

Products in scope

- Repo/Reverse Repo and Buy/Sell back trades
- Securities loans and borrows
- Commodities loans and borrows
- Prime brokerage margin lending transactions

SFTR Reporting Timeline



First reporting obligation:

13 Jul 2020	Phase 1	Investment firms and Credit institutions
13 Jul 2020	Phase 2	CCPs and CSDs
11 Oct 2020	Phase 3	Insurance, UCITS, AIF & Pensions
11 Jan 2021	Phase 4	Non financial companies

How S&P Global Market Intelligence can help you comply with SFTR

Our SFTR solution provides the foundation needed to reconcile trading activity down to the UTI and LEI level of granularity, setting an industry wide standard.

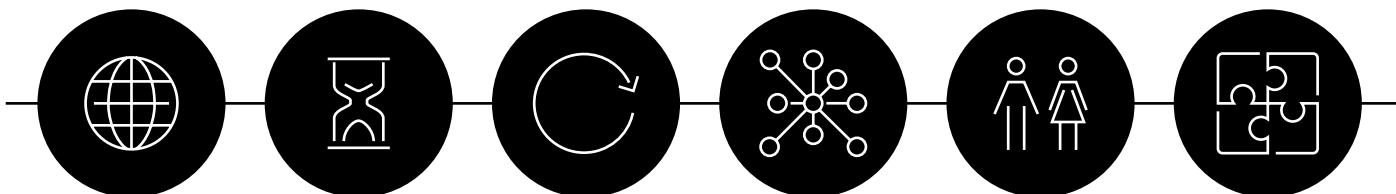
The Market Intelligence SFTR solution facilitates the exchange of the data points and life cycle events between the participants. In addition, it enables the efficient reporting of the various SFTR files to the chosen Trade Repository within a t+1 timeframe.

SFTR Solution

Full End-to-End Solution
Complete solution for SFT reporting and data retention

Regulation Coverage
Single solution to cover both SFTR and MiFID regulations

Dedicated Support
Seamless integration and implementation with a dedicated contact

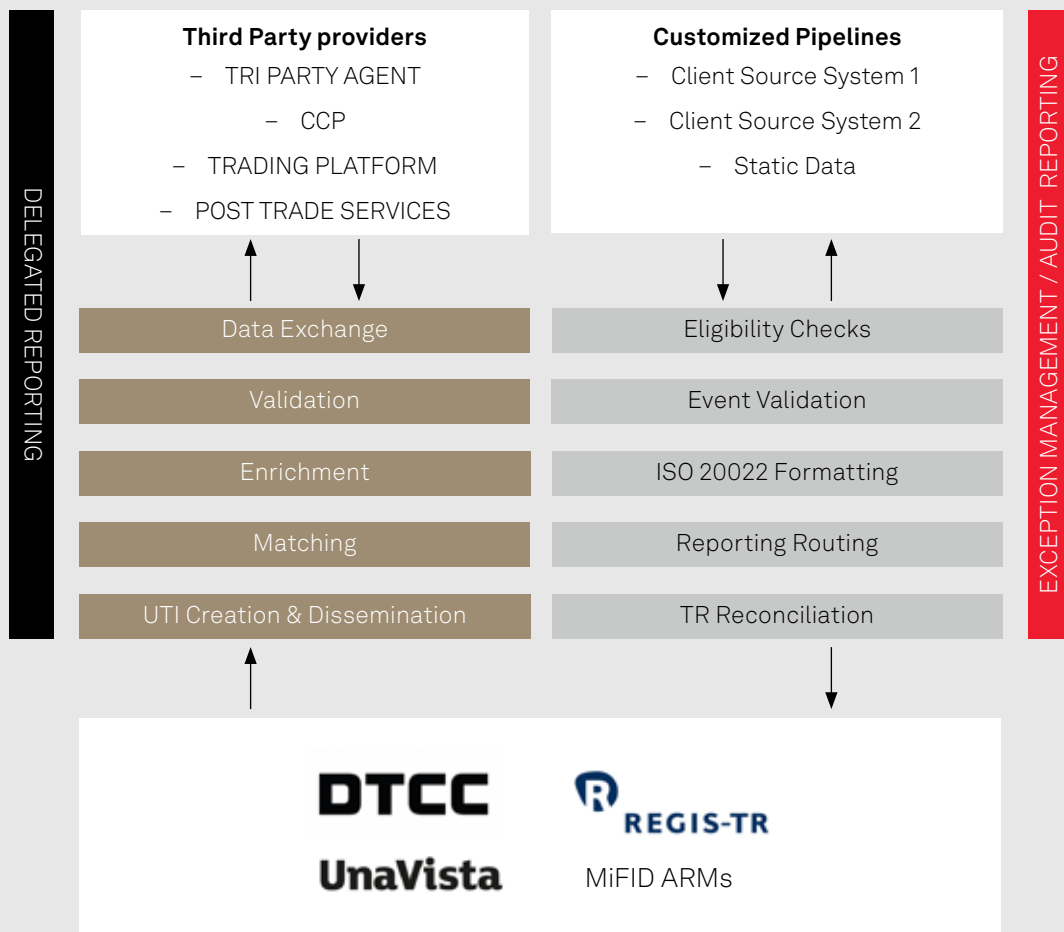


Real Time Processing
Data feeds, processing amendments, enrichment and matching in real time

Connectivity
Connected to every trade repositories

Modular Construction
Flexibility to meet your individual needs and structure

Market Intelligence SFTR Solution – Overview



About S&P Global Market Intelligence

S&P Global Market Intelligence integrates financial and industry data, research, and news into tools that help track performance, generate alpha, identify investment ideas, understand competitive and industry dynamics, perform valuation, and assess risk.

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