

Risk assessments are critical to your business, but they shouldn't disrupt it

KY3P® Assessments* are designed to benefit all industry participants, from financial institutions to their suppliers, partners, and other third parties.

Our Assessments-as-a-service model streamlines and simplifies third-party risk assessments by executing them once and delivering them to many over a secure, shared-services platform.

KY3P® Assessments are as beneficial to third parties as they are to their customers.



Maximized effort

Complete your assessments once and use them across many financial institutions.



Consistent standards

Put your confidence in KY3P®'s best practices standard instead of managing different standards across your customer base.

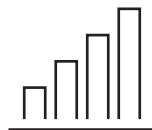


Shorter sales cycles

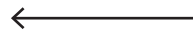
Direct future customers to your existing KY3P® Assessment to accelerate customer acquisition and onboarding.



Organizations



**KY3P® Assessments
Data Clearinghouse
for Third Party Risk**



Third Parties

* KY3P® Assessments originated as TruSight Solutions, an innovative industry utility built by a consortium of leading financial services companies.

The standardized KY3P® Assessment Methodology delivered on our assessments-as-a-service platform

The KY3P® Assessment Methodology is a single, standardized framework created to eliminate redundant assessments across the industry. Our methodology evaluates 27 diversified control domains and is designed to meet the industry's assessment needs across information security, operational risk, and regulatory requirements.

- Business Experience
- Human Resources & General Ethics
- Training
- Internal Controls
- Business Continuity
- Physical and Environmental
- Privacy
- Media and Vital Records
- Inventory Control
- Customer Facing
- Systems Configuration and Management
- Third Party Reliance
- Enterprise Data Management
- Data Loss Prevention
- Information Security Risk and Compliance
- Acceptable Use of Technology
- Logical Access Management
- Threat and Vulnerability Management
- Network Management
- Mobile Computing
- Logging and Monitoring
- Incident Management
- Change Management
- Software Development Life Cycle
- Encryption
- Cloud Computing
- Technology Asset Management

How to prepare for the KY3P® Assessment and what to expect

1. Introduction to KY3P®

- You will receive a welcome email from KY3P®. We are available for an introductory call or to answer any questions via email.

2. Execute KY3P® agreement

- We will send KY3P®'s confidentiality agreement via DocuSign. The review and execution of this document are required for the protection of confidential information.

3. Review the questionnaire overview

- You will also receive an overview of the KY3P® questionnaire detailing the 27 diversified control domains and the required documentation for each.

4. Prepare for the KY3P® Enhanced Assessment

- Because we provide a minimum of 10 business days for you to complete the questionnaire after accessing the KY3P® Assessment Platform, it's critical to prepare by reviewing the Questionnaire Overview and aligning internal resources in advance.

5. Access the platform and begin the assessment

- You will get access to the KY3P® assessment platform to complete the questionnaire. Please contact us if you would like to get started early or have colleagues who will also need to access the platform.

6. Complete the questionnaire

- You may either complete the questionnaire in the KY3P® platform or download it, answer the questions in Excel, and upload your responses. Requested artifacts are also uploaded through the platform.

7. Complete remote or onsite validation

- If your assessment requires remote or onsite validation, we may need to set a date to validate controls remotely via Webex, or to visit you onsite.

8. Review assessment observations

- If you participated in a remote or onsite assessment, we send our observations back to you within 5 business days for review once your assessment is complete.

9. Finalize assessment

- Following your review period, the assessment is sent to the approved financial institution(s) that originated the request.

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