

thinkFolio: Post-trade Matching

Delivering an automated, transparent and user-friendly workflow to support the post-trade lifecycle within the thinkFolio investment management platform

The matching of trade details is a critical step in the post-trade process. However, the widespread use of disparate tools to manage post-trade matching and exceptions introduces a number of challenges, including reduced automation, increased operational risk and lack of transparency, which can lead to prolonged settlement times or trade breaks.

thinkFolio helps buy-side firms circumvent these challenges by providing seamless connectivity from post-trade execution to trade settlement for both fixed income and equities. thinkFolio's trade matching workflow is integrated with the DTCC CTM platform, which enables users to match at both the block and allocation level. This mitigates the risk of trade breaks and provides insights into exceptions, which support teams can resolve before orders are sent downstream to the back office for settlement. This saves valuable time and reduces costs.

Summary dashboards within thinkFolio's Post-trade Matching module provide status insights and exception traffic lights based on the aggregate health of the relevant transaction activity. The match statuses are viewable and trackable and, when a break occurs, users can review, manage and resolve the issue directly within the platform. Key attributes of the trade are highlighted and can also be amended as needed. Once resolved, the counterparty is automatically updated and notified through DTCC CTM.

Exception-based monitoring

Users can visualize mismatched details and perform exception management workflows within thinkFolio. They can also override or amend mismatched trade details as required.

Workflow automation

The complete trade matching process is automated and executed in real-time, mitigating operational risk, freeing up resources to focus on value-add activities and supporting optimal trade settlement times.

Modern UI

A modern UI ensures ease of workflow and full transparency. The summary view includes an overview of total trades by status, mismatches by broker and match status by counterparty.

Best-in-breed integration

Integration with DTCC CTM is part of thinkFolio's strategy of interoperability, which provides clients the option of leveraging an ecosystem of complementary third-party solutions. The suite of integrations supports clients throughout the investment lifecycle, from portfolio construction and pre-trade risk analytics to post-trade operations and performance attribution.

Key Stats

\$4 trillion+
Combined AUM
of clients

Native
Real-time
analytics

Cross
Asset class

Customers

Asset managers

Family offices

Funds of funds

Hedge funds

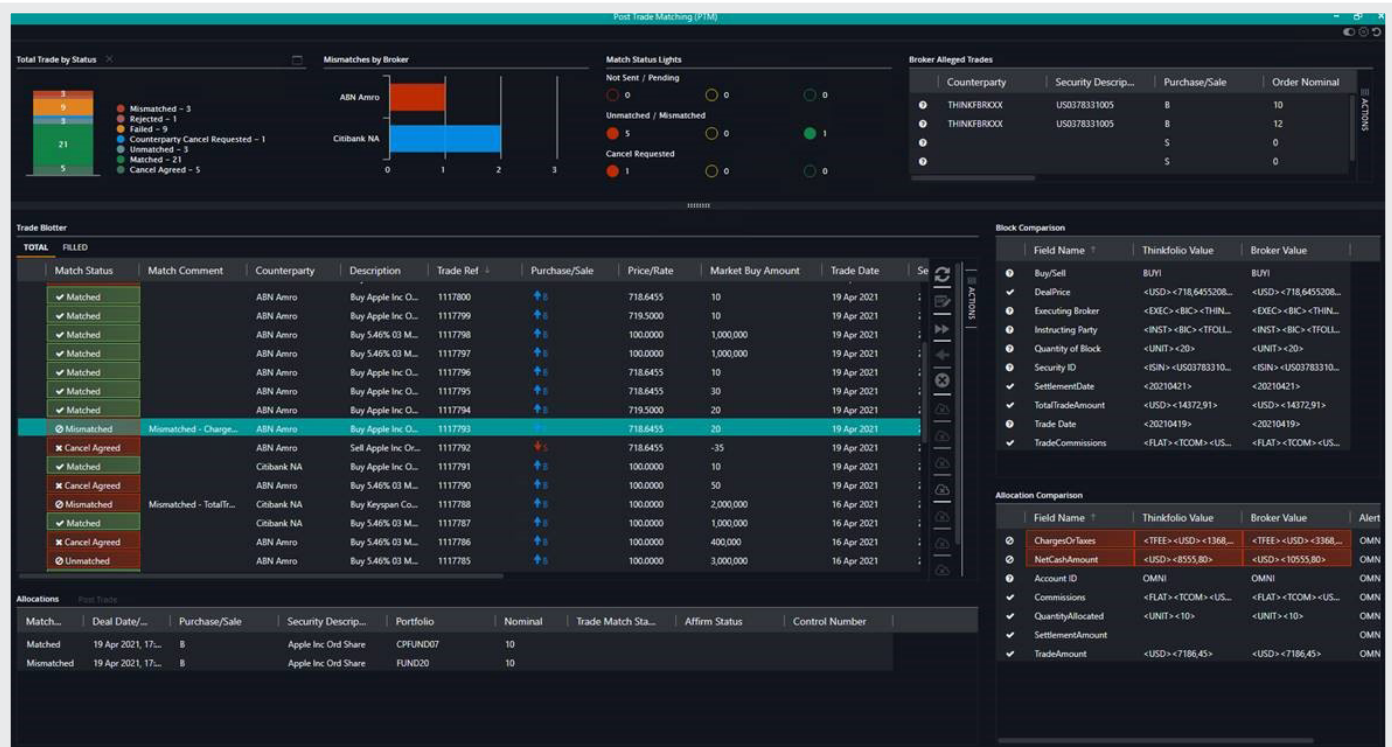
Insurance
companies

Investment
management
groups

Pension funds

Private banks

Sovereign wealth
funds



Example of thinkFolio's Post-trade Matching module

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