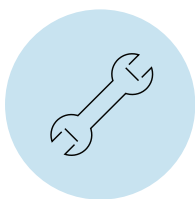


Proactive KYC Services: Empowering Your KYC Process

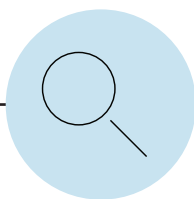
Know Your Customer (KYC) and Anti-Money Laundering (AML) regulatory requirements are on the rise, creating significant challenges for financial institutions as they can be complex and manual. The buy-side often faces time-consuming and repetitive procedures in order to satisfy their counterparty requests and the lack of transparency and standardization to the process reduces efficiency, causing significant business disruption.

Top KYC challenges



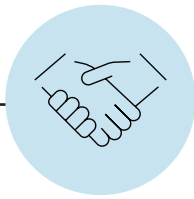
Accessing Quality Data

Automated public data collection from primary sources, such as registries and exchanges



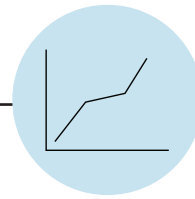
Time to Market

Improve processes by removing time-consuming manual back and forth



Standardization

Rely on industry-benchmarked KYC procedures to ensure counterparty demands to limit superfluous requests



Increasing Efficiency

Leverage automated technology for quicker revenue enablement and operational efficiency. Store and share KYC packs from a single, secure repository

Firms may not have the capacity, expertise, or technology to effectively manage this resource-intensive process. The KYC process can often be fragmented and inefficient, which makes it challenging to quickly onboard counterparties and reduce the response time for trading opportunities.

The solution: Proactive KYC Services Packs

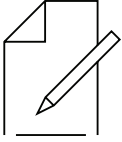
Proactive KYC Services from S&P Global Market Intelligence can help mobilize your assets by centralizing KYC data and documents to share with counterparties when onboarding new funds or to be used when a bank or any other third-party requests KYC on your legal entities.

KYC Services allows you to combine private and public data; integrating all required KYC information to avoid any disruption to business operations and repetitive exchanges to meet counterparty requirements.



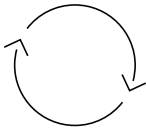
Light Touch Consulting Services

Identification of relevant KYC/AML collection standards across jurisdictions to be applied for Customer's legal entities. Benefit from KYC Services horizon scanning to remain ahead of upcoming changes to global regulation.



KYC Enrichment Review

Utilisation of S&P Global automation and web-scraping technology to gather publicly available data to the required policy standards. 4-eye quality assurance on all information gathered to validate and evidence KYC review. Collaborative work with our clients to collect and store privately held data and documentation.



Refresh

Avoid manual operational maintenance by implementing periodic refresh of profiles to ensure all documents and data is kept up to date.



Share Securely with Counterparties

Utilise the secure Counterparty Manager platform to upload and permission KYC packs to brokers when required.



Policy and Procedures Methodology Document

S&P Global Market Intelligence can provide a document to be shared with counterparties alongside the KYC Packs, outlining the approach and methodology taken in line with global regulation.

CONTACT US

The Americas

+1 212 849 3733

EMEA

+44 (0) 20 7260 2055

Asia-Pacific

+852 3844 0103

Copyright © 2022 by S&P Global Market Intelligence, a division of S&P Global Inc. All rights reserved. No content, including by framing or similar means, may be reproduced or distributed without the prior written permission of S&P Global Market Intelligence or its affiliates. The content is provided on an "as is" basis.

spglobal.com/marketintelligence