

Sanctioned Securities Screening Solutions

Daily reporting on sanctioned securities and coverage on indirect exposure through ETFs, Indices, Mutual Funds and Derivatives.

Rapid change to the sanctions landscape with growing volume and complexity continues to massively impact compliance efforts. With increased regulatory scrutiny, non-compliance can lead to financial and reputational penalties. Our Sanctioned Securities Screening Solutions provide access to timely and reliable data to help firms review their risk exposure and avoid penalties.

We distribute daily reports on securities linked to entities sanctioned by OFAC of US Treasury Department, HMT UK, the European Union and the United Nations jurisdictions. We collaborate with industry leading AI-based sanctions provider Quantifind to identify entities on major sanctions lists, domicile and companies implicitly sanctioned by ownership. Leveraging S&P's Business Entity Cross Reference Service (BECRS) company hierarchy data, we are also able to identify subsidiaries in scope.

Securities linked to sanctioned entities are consolidated from S&P's Global Instrument Cross Reference Service (GICRS) dataset, and enriched with information such as security type, issue/maturity date, tenor and instrument codes (ISIN, CUSIP, SEDOL, BBGID, Ticker and others). For non-blocking sectoral sanctions, directives are applied on individual securities to determine if the security is sanctioned or not.

As a leading compositions aggregator, we are further able to provide a comprehensive look-through solution to identify indirect exposure through ETFs, Indices, Mutual Funds and Derivatives. Seamless delivery of daily files and a highly customizable solution enable firms to quickly integrate our data into their systems and mitigate financial crime risk with confidence.

Daily Update

Changes are monitored and updated daily providing timely and accurate data.

Comprehensive Coverage

Access to vast reference databases maintained within S&P which comprises of millions of entities, company hierarchy data, securities and funds.

Recursive Look-through

Recursive look through functionality to identify funds holding funds holding specific securities. The drill down is exhaustive until all funds are identified.

Relationship to sanctioned security

Relationship between a basket and its underlying root security is provided, which is a useful field for cases where the connection is several levels deep.

Key Stats

650,000+

Index variants

12,000+

ETPs

81M+

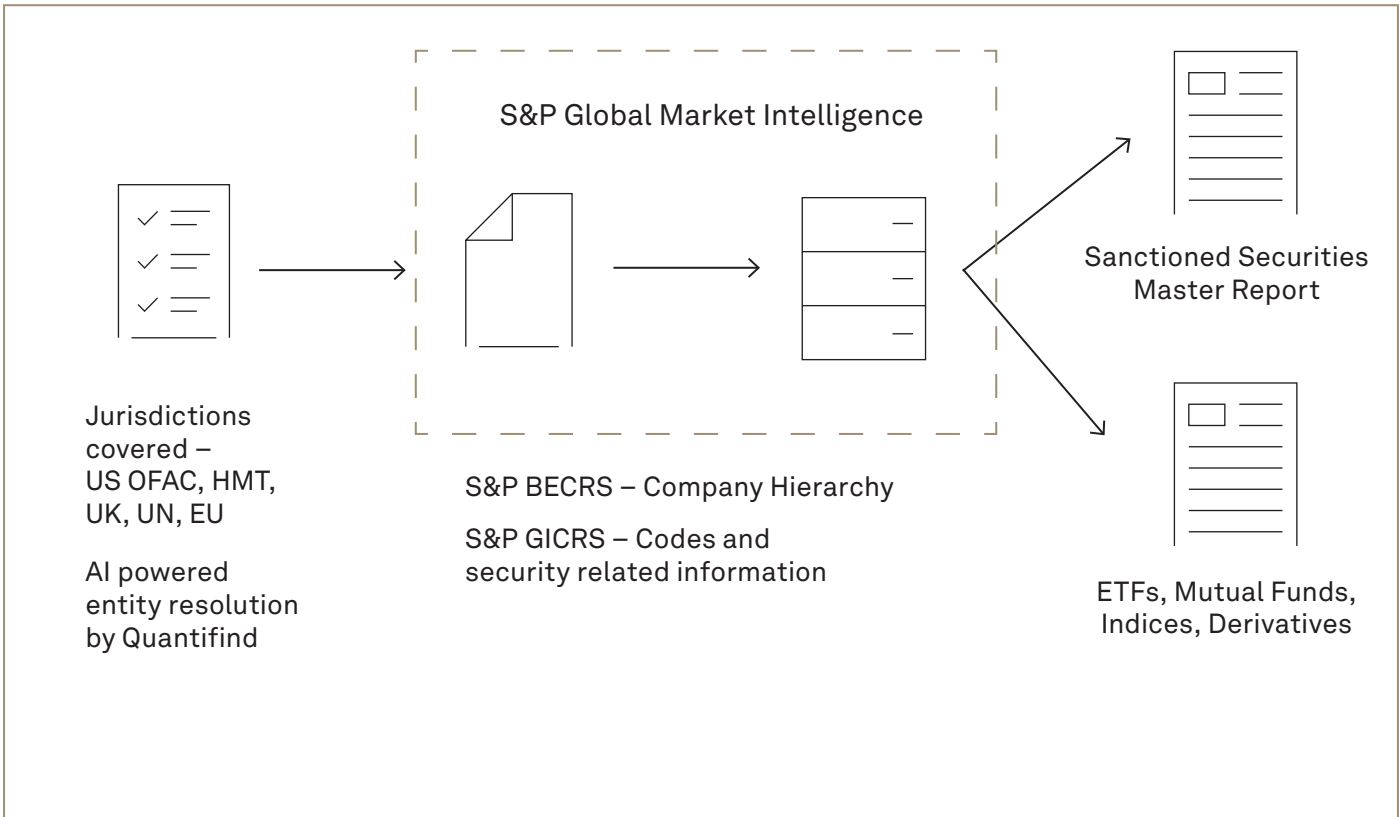
Sidebar List,L1

300,000+

Mutual funds

28M+

Companies



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