

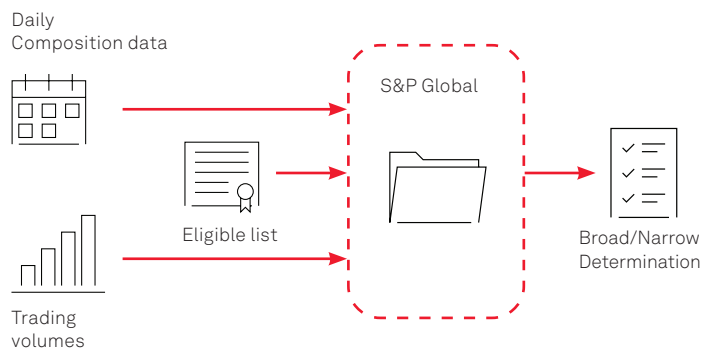
# Broad and narrow based determination

Daily distribution to identify broad-based and narrow-based indices

SEC's Regulation SBSR is a key component of the security-based swap regulatory regime established by Title VII of the Dodd-Frank Wall Street Reform and Consumer Protection Act.

Regulation SBSR provides for the reporting of security-based swap information to registered SDRs and public dissemination of transaction, volume, and pricing information.

Our solution identifies indices and custom baskets as narrow-based or broadbased, leveraging the definitions established in these regulations. This information is then distributed in a daily flat file and available via our API and distributed database services.



## Compliance

Ensure you are reporting to the correct authority.

## Look-through

With the largest globally available database of index data within financial markets, we provide a comprehensive look-through to determine the weighting and composition membership.

## Cost-effective

Reduced operational overhead.

## Multi-asset

Equities and CDS baskets supported.

## Frequent delivery

Daily distribution of files, with the ability to instantly return classifications for custom baskets ahead of 15-minute reporting deadline.

## Custom baskets

Ability to support custom baskets, including management for pricing and corporate actions, or pass-through.

## Regulatory reporting

Reporting required from November 2021.

## Key Stats

**64,000 +**  
Indices and custom baskets

**465,000 +**  
Index listings

**42%**  
Considered broad-based

## Customers

Financial institutions

## Key Benefits

Compliance

Look-through

Cost-effective

Frequent delivery

Custom baskets

Regulatory reporting

## About S&P Global Market Intelligence

S&P Global Market Intelligence integrates financial and industry data, research, and news into tools that help track performance, generate alpha, identify investment ideas, understand competitive and industry dynamics, perform valuation, and assess risk.

### CONTACT US

#### The Americas

+1 212 849 3733

#### EMEA

+44 (0) 20 7260 2055

#### Asia-Pacific

+852 3844 0103

Copyright © 2022 by S&P Global Market Intelligence, a division of S&P Global Inc. All rights reserved. No content, including by framing or similar means, may be reproduced or distributed without the prior written permission of S&P Global Market Intelligence or its affiliates. The content is provided on an "as is" basis.

[spglobal.com/marketintelligence](https://spglobal.com/marketintelligence)