Investor Onboarding

Digitizing the collection of subscription, KYC and tax documents for quicker onboarding and a customized, branded client experience

Managing investor onboarding and ongoing due diligence compliance monitoring is critically sensitive and administratively overwhelming. This increasing regulatory burden has also affected the investor's experience, with a real impact on client-facing relationships.

Our customized Investor Onboarding solution enables funds and administrators to securely manage the onboarding process, electronically obtain subscription materials, collect and validate tax documentation and incorporate investor KYC data.

It provides a better client onboarding experience and reduces the possibility of data discrepancies that trigger due diligence concerns and duplicate documentation requests. Our platform streamlines the investor experience and allows them easy access to their data across investments. Built-in tailored logic ensures investors only provide information relevant to their investor type (individual, entity, trust, etc) and their residences.

Increase Efficiency
Manage investor due diligence reviews on a central platform and gain access to investor data and customise to your fund launch requirements with your branding and unique onboarding experience

Manage Information
Centralize disparate data sources and improve data quality and control

Address Compliance Obligations
Address multiple compliance requirements including AML/KYC, Tax, CRS and FATCA (including IGAs) from a single platform

Enhance Onboarding
Improve the customer experience of engaging with your firm through a digital way of of subscription document completion and investment allocation

Reduce Costs
Reduce costs associated with onboarding investors and compliance monitoring

Streamline Documentation
Reduce the need to collect and store duplicate documents or complete multiple paper forms

The platform integrates with our Tax Solutions E-W8™ application by providing data for collection, digital signature and validation of U.S. Forms W-8/9 and AEOI self-certification forms for tax residence.

Digital onboarding experience for the Investor

Create a single user account to manage multiple investment opportunities in one central platform.

The platform enables you to:

- Provide static data via an online interview (data can also be pre-populated by the fund coordinator)
- Sign subscription documents electronically
- Upload supporting documentation in any file format in real time
- Permission other individuals to review or edit and upload required information, such as legal reviewers
- Reuse information and documentation from previously completed subscriptions for new investment opportunities

Improved efficiency for funds and fund administrators

Manage your documentation and due diligence obligations for investor onboarding and ongoing compliance for AML/KYC, tax, FATCA (both onshore and offshore) and CRS obligations.

The platform enables you to:

- Customize your unique onboarding experience with a branded customer portal
- Solicit digital customized subscription documents from investors
- Provide fund, legal and compliance staff with access to investor subscription documents for review and sign-off
- Countersign subscription agreements singly or in bulk
- Run investor status and administrative reports

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