

Corporate Actions SaaS

A corporate actions processing solution for tomorrow, today



Corporate Actions SaaS is a cloud-based, highly-automated solution, which gives your business the control to manage corporate actions processing seamlessly, regardless of external market complexities or event risk.

The solution is underpinned by the core Market Intelligence corporate actions processing product - a mature offering built on a foundation of extensive industry knowledge and client insights.



Corporate actions industry challenges ...



Data Inconsistencies

Inconsistent data provisioned from vendors resulting in increased overhead and risk



Low Automation

Delayed communication and receipt of investment decisions



Instruction Risk

Operations election processing and exposure from missed elections

...addressed



Effective Data Management

- Intelligent data analytics and scrubbing to create golden copy
- Integrated corporate actions data and workflow management



Automated operational processes

- UX dashboards to guide users to the highest priority tasks
- Plug and play configuration optimized for industry best practice event processing



Enhanced Risk Management & Reporting

- Focus your team on a prioritized workload with smart risk classification and scoring
- Digital web channel option for automated election capture with enhanced decision maker experience

We have made significant investment in delivering a solution which meets the demands of today's corporate actions environment.

Through regular collaboration with our clients, we continually expand the solution to meet the ever-evolving challenges of corporate actions processing. We have a strategic roadmap and investment commitment for next year and beyond.

The service

Increased operational efficiency, high levels of automation, risk mitigation with dynamic classification and scoring.



An enhanced user experience...

...with critical features & functionality



Contextualized operations data

Corporate Actions Validation Service

provides data from a dedicated team of seasoned corporate actions experts validating data covering 4 million securities across 1.5 million active events using 200+ sources.

Event & Risk Management

Event diary alerts and risk tracking ensures operations can focus on complex events, while enabling STP for more straightforward events. Implementation is accelerated through preconfigured business rules based on local corporate actions knowledge and best market practice.



Intelligent task and alert management

Eligibility & Reconciliation

The Corporate Actions SaaS solution determines eligibility across all corporate actions events including mandatory, choice and voluntary events. Full SWIFT compliance enables reconciliation between balances and custodians' positions

Notification

Automated event notification and reminders are delivered in a timely manner via preconfigured email templates.



Enhanced decision maker experience

Corporate Actions Election Execution

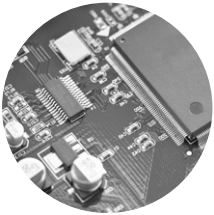
A cutting-edge, real-time election tool with features such as dynamic risk-based visual dashboards and what-if analysis. This aids in the process of performing and tracking corporate actions elections any time.

Instruction Submittal

The risk of missing instructions to custodians is alleviated through instruction processing alerts. Instructions are submitted on time and can be generated in the ISO 15022 and 20022 formats along with fax and email.

Onboarding: deploy for success

A streamlined onboarding model reducing client resourcing constraints by delivering a highly configured service supported by test scripts and model office testing.



Integration adapters ready

(Provided Security Master)



- **Model office testing
with local support**
- **Software acceptance**



Deliver highly configured service to meet client business needs



Migration and go-live.



Business benefits & value-added features

Onboarding Timeline

A clearly defined onboarding process ensures that moving onto Corporate Actions SaaS can be completed via accelerated timelines.

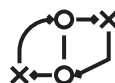
Onboarding Initiation

- Assigned onboarding team
- Onboarding workshop & target workflow training



Model Office Testing

- Parallel run
- Further training and client support



Configuration and Integration Validation

- Plug-and-play ready integration adapters
- Configured solution validation
- Test scripts provided



Go-Live

- Production migration
- Ongoing support
- Health checks

S&P Global Market Intelligence

At S&P Global Market Intelligence, we understand the importance of accurate, deep and insightful information. Our team of experts delivers unrivaled insights and leading data and technology solutions, partnering with customers to expand their perspective, operate with confidence, and make decisions with conviction.

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