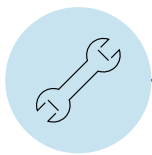


Identifying Your Customer Risk Exposure Through Screening

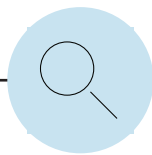
Regular client screening has become a key obligation for financial services firms amid changing Know Your Customer (KYC) requirements. Institutions without a robust screening program are at a greater risk of association with financial crime and non-compliance with global Anti-Money Laundering (AML) regulations.

Top screening process challenges



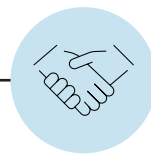
Comprehensive data coverage

Accessing adverse media, Politically Exposed Person (PEP) and sanctions



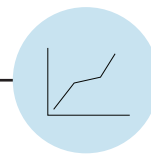
Minimizing regulatory impact

Reduce potential for regulatory incidents and fines



Real time alerts

Ongoing monitoring of customers throughout lifecycle



Risk Categorization

Improving platform functionality to enable risk assessment based on returned screening

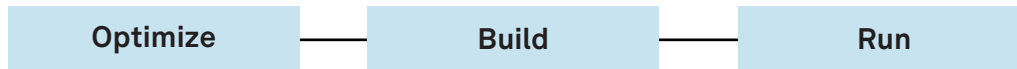
Firms often do not have the capacity, expertise, or technology to properly assess customers' exposure to adverse media, PEPs, and/or sanctions. Screening processes can often be fragmented and piecemeal, putting institutions at risk of regulatory fines, reputational damage or even business loss.

Screen your clients with deep insights

The Market Intelligence Screening solution reviews your clients against adverse media, PEPs, government sanctions and watchlists, so you can make risk decisions with conviction. Our experienced team of analysts review and assess screening alerts to give you a comprehensive view. This scalable package is part of our market-leading KYC Services solution, offering full support for all your KYC processes.

The Screening package includes:

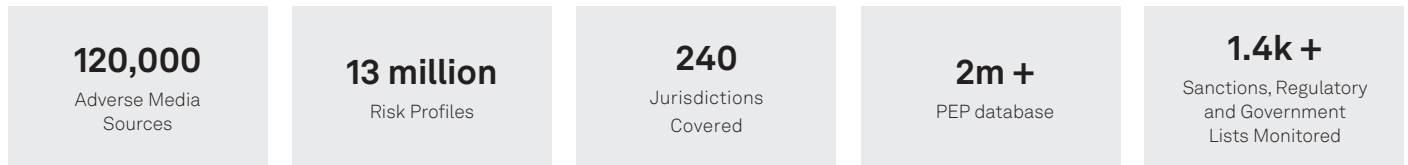
- Screening against adverse media, PEPs and sanctions
- Application of standardized screening parameters
- False-positive alert review and summary
- Standardized customer risk scoring
- Supporting documentation
- No implementation required – go live within a few days



Optional add-ons:

- Perform public or full KYC reviews
- Configurable customer risk scoring
- Application of customized screening parameters
- Ongoing screening

Clarify your journey to compliance. Learn more



CONTACT US

The Americas

+1-877-863-1306

EMEA

+44-20-7176-1234

Asia-Pacific

+852-2533-3565

Copyright © 2022 by S&P Global Market Intelligence, a division of S&P Global Inc. All rights reserved. No content, including by framing or similar means, may be reproduced or distributed without the prior written permission of S&P Global Market Intelligence or its affiliates. The content is provided on an "as is" basis.

spglobal.com/marketintelligence

864554773_0622_MS