

Managed Corporate Actions

Collection, research and validation of global corporate actions data



Managed Corporate Actions (MCA) provides a centralized source of validated corporate actions data for more than 3 million equities, fixed income and structured securities, across 170 countries and territories.

Data is validated through an intricate and precise process of mapping, normalizing and consolidating announcement information. Through this validation process, we enhance vendor records and resolve conflicting information to provide customers with a single golden corporate actions record.

MCA is an offering built on a foundation of extensive industry knowledge and client insight.

S&P Global

Market Intelligence

Corporate actions industry challenges...



Data inconsistencies

Inconsistent data provisioned from vendors resulting in increased overhead and risk



Lack of expertise

Requiring an in-depth understanding of global market nuances including event types, taxation rules, inconsistent settlement cycles, languages skills, and local market practices



Delayed or missing announcements

Resulting in missed opportunity and increased risk

...addressed with



Validated data

Sourcing and procuring data from leading providers that have been relied on for over 15+ years including:

- Automated sources
- Direct sourcing (issuers, registrars, information agents)
- Niche data vendors



Industry expertise

Global team of industry professionals dedicated to corporate actions validation with market specialization and language expertise:

- 5 locations
- Security based specialization
- 20 languages
- Client support



Innovative technology

Utilizing latest tools and technology to meet customer demands backed by:

- Customer driven roadmap
- State-of the art web tool
- Nimble approach
- API and frequent file delivery
- Robust data control

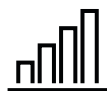
Regular collaboration with our clients allows us to continually expand our solution to meet the ever-evolving challenges of corporate actions. We have a strategic roadmap built with input from our highly-engaged user community.

The MCA Service

Significantly reduces risk of downstream errors or delays due to MCA's high quality and timely data, allowing increased automation of event processing.

The best-in-class data and service...

...backed by people, procedures and technology



Data and validation

Tailored data provider packages

We offer data provider packages customized to best provide coverage and timeliness based on our customers' securities of interest which includes:

- Major CSDs
 - Depository Trust & Clearing Corporation (DTCC)
 - Canadian Depository for Securities (CDS)
 - Euroclear (EC)
- Global data providers
- Local exchanges

Event sourcing and validation

In addition to frequent data provider feeds, our global team of corporate actions professionals source and confirm event details via:

- Registrars
- Information agents
- Company prospectus
- Internal relations department
- Company press releases
- Issuer websites



People and procedures

Our subject matter experts

Corporate actions event details are scrubbed by our global team of corporate actions SMEs offering coverage in 20 languages across 5 locations. Our team is uniquely equipped to translate documentation and confirm complex corporate actions details directly with global issuers.

Offering best-in-class data

Based on vast collective experience with global market practices and nuances, MCA has implemented multiple procedures designed to provide timely and accurate event notification, including but not limited to:

- Proactive monitoring of exchanges for late announcements
- Easy-to-understand narratives for all complex events
- Projected Japanese and Korean dividends



Robust data delivery and services

Subscription options

Customers may access our data with a delivery method in line with your firm's needs:

- Publication based on customer submitted Securities of Interest (SOI); available in proprietary and ISO formats via SFTP and email
- A newly launched web only subscription is available to customers for event lookup and validation, with access to our corporate actions' universe

Additional service offerings

In addition to corporate actions event details, we have implemented additional service offerings based on customer need including:

- Agent validation service
- Multi-market service
- Exchange traded derivatives
- Price adjustment factors
- Exchange based events
 - Listing/de-listing
 - Trading resumption/suspension
 - Ticker/ID changes

MCA Web

MCA Web offers innovative features and functionality including customizable dashboards, SOI management and advanced search capabilities.

Transparency

View attached documents or web links to source documents, as well as a complete, dated audit trail from when and how an event was created

Web-based reporting

Customize and schedule reports based on robust search criteria

Dashboard and customization

Personalize dashboards based on frequent searches, securities of interests, and watchlists

Coverage

Access detailed data, including event-level data, dates, options, pay-outs, terms and conditions and restrictions, for 3 million+ securities across more than 170 countries

Agent Validation Service

Agent Validation Service is a managed business process service that delivers the final golden record based on customer's custodian messages and MCA data.

Expertise

A global team of dedicated corporate actions professionals providing research, validation, and support

Customizable

Customizable offering based on the customer's internal processes, source priorities and SLAs

Golden record creation

Easy to consume Golden Record in the XML format, created by the dedicated team of experts to allow maximum straight through processing

Processes

Rules-driven validation process monitored by our team working closely with customers' custodians to resolve conflicts

Managed Corporate Actions

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Our MCA solution delivers:

- Validation of more than 3 million crossasset securities covering 170 countries and territories
- Smart system validation and manual scrubbing to provide golden copy corporate actions records
- Support of 20 languages across 5 global locations
- Industry-leading collection of data providers and manual sources
- 24/6 customer support from our subject matter experts
- A large community of 150+ customers

Controls and governance

Rigorous controls and four eye approvals

Technology

Powered by our industry-leading software solution

Coverage

More than 50 unique action types, supporting mandatory, voluntary and special events

For more information: ihsmarkit.com/mca

S&P Global Market Intelligence

At S&P Global Market Intelligence, we understand the importance of accurate, deep and insightful information. Our team of experts delivers unrivaled insights and leading data and technology solutions, partnering with customers to expand their perspective, operate with confidence, and make decisions with conviction.

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