

Portfolio Valuations: Audit Verification Service

A user friendly front end portal for auditors to access content to support verification of customer valuations.

Regulatory and accounting guidelines require firms to use independent pricing sources and develop a detailed understanding of valuation processes and controls. This may require funds and their auditors to perform due diligence and deep dives on the valuation of trades. We help auditors simplify and streamline the verification process by providing access to independent and transparent valuations.

We deliver a fully hosted service that provides independent mark-to-market valuations and risk analytics for vanilla and exotic OTC derivatives, private equity and illiquid debt investments, structured notes, and fixed income cash products.

Upon approval from the auditor's customers who are also users of Portfolio Valuations, the auditor is granted access to those customers' secure valuations environment. Valuation results and underlying data can be retrieved in an automated manner by submitting a list of security identifiers required for verification.

Auditors can also separately use Portfolio Valuations for non-common customers to request valuations for derivative and cash trade types where independent prices and underlying data are required.

Operational Efficiency

Self-service user interface providing automatic turnaround of valuation requests, reducing time spent on verification process.

Scalability

Access to multiple client valuations in segregated accounts on a single platform.

Transparency

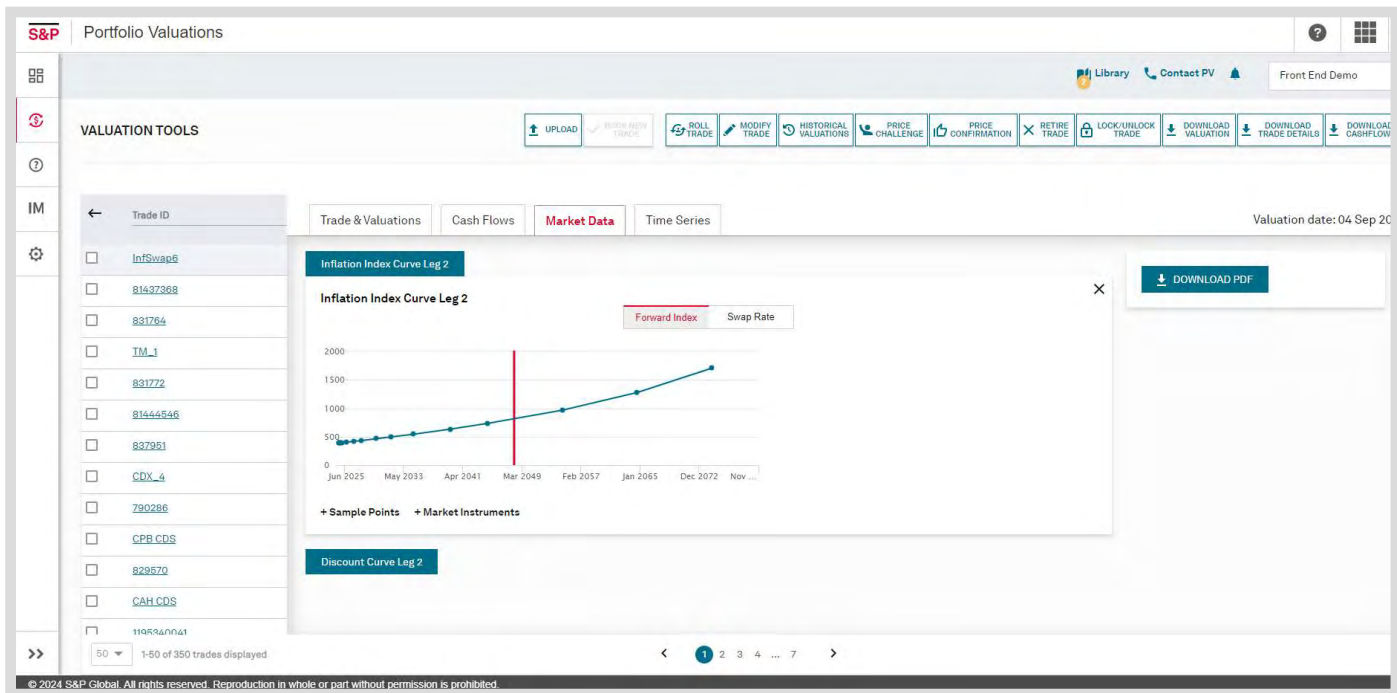
Detailed view of inputs for each valuation as well as fully documented methodologies and pricing models.

Reliability

SSAE 18 and ISAE 3402 audited valuation processes, including market data capture and cleansing, trade loading, valuation tolerance checks, and delivery of results.

Dedicated Support

Global team of experienced valuation analysts, providing rapid support on queries, price challenges, and deep dive reviews.



Sample of our valuations transparency: underlying trade details, market data and cash flows.

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