



IHS Markit®

SFTR

Securities Financing Transactions Regulation

End to end regulatory reporting solutions



As part of the policies identified by the Financial Stability Board to increase transparency across securities financing transactions (SFTs), the EU introduced the **Securities Financing Transaction Regulation (SFTR)**.

SFTR includes new rules compelling market participants to report all SFTs to an approved trade repository and radically restructure their data architecture – integrating numerous and often disparate, data sources to enhance transparency.



Participants in scope

Financial counterparties

Non-financial counterparties

EU-based entities (including their non-EU-based branches)

Non-EU entities (where the transaction is concluded by an EU-based branch)

Products in scope

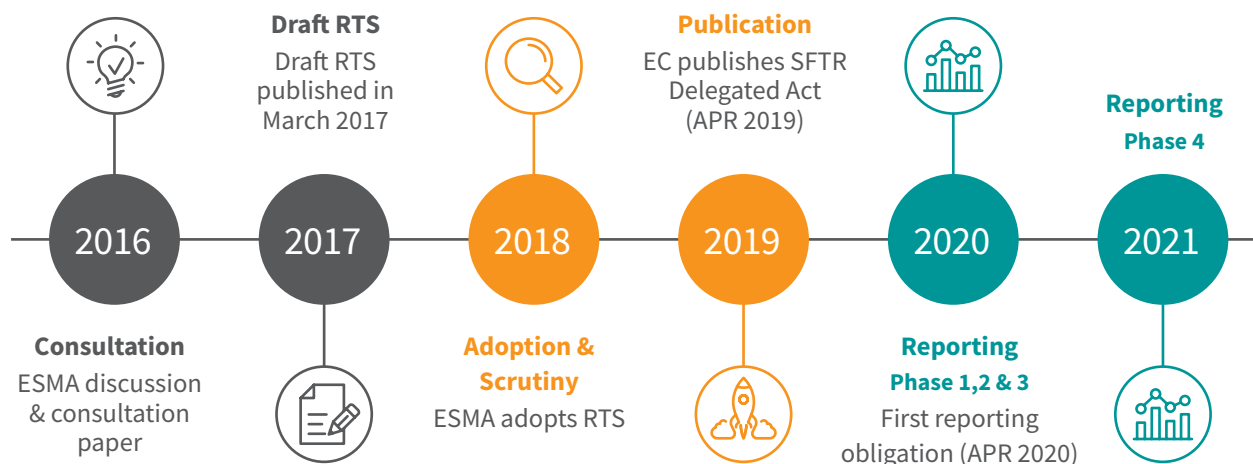
Repo/Reverse Repo and Buy/Sell back trades

Securities loans and borrows

Commodities loans and borrows

Prime brokerage margin lending transactions

SFTR Reporting Timeline



First reporting obligation:

13 JUL 2020	Phase 1	Investment firms and Credit institutions
13 JUL 2020	Phase 2	CCPs and CSDs
11 OCT 2020	Phase 3	Insurance, UCITS, AIF & Pensions
11 JAN 2021	Phase 4	Non financial companies

How IHS Markit can help you comply with SFTR

Our SFTR solution provides the foundation needed to reconcile trading activity down to the UTI and LEI level of granularity, setting an industry wide standard.

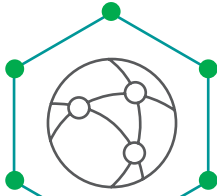
The IHS Markit SFTR solution facilitates the exchange of the data points and life cycle events between the participants. In addition, it enables the efficient reporting of the various SFTR files to the chosen Trade Repository within a t+1 timeframe.



SFTR Solution

Full End-to-End Solution

Complete solution for SFTR reporting and data retention



Regulation Coverage

Single solution to cover both SFTR and MiFID regulations



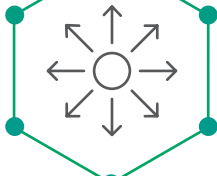
Real Time Processing

Data feeds, processing amendments, enrichment and matching in real time



Dedicated Support

Seamless integration and implementation with a dedicated contact



Connectivity

Connected to every trade repositories



Modular Construction

Flexibility to meet your individual needs and structure



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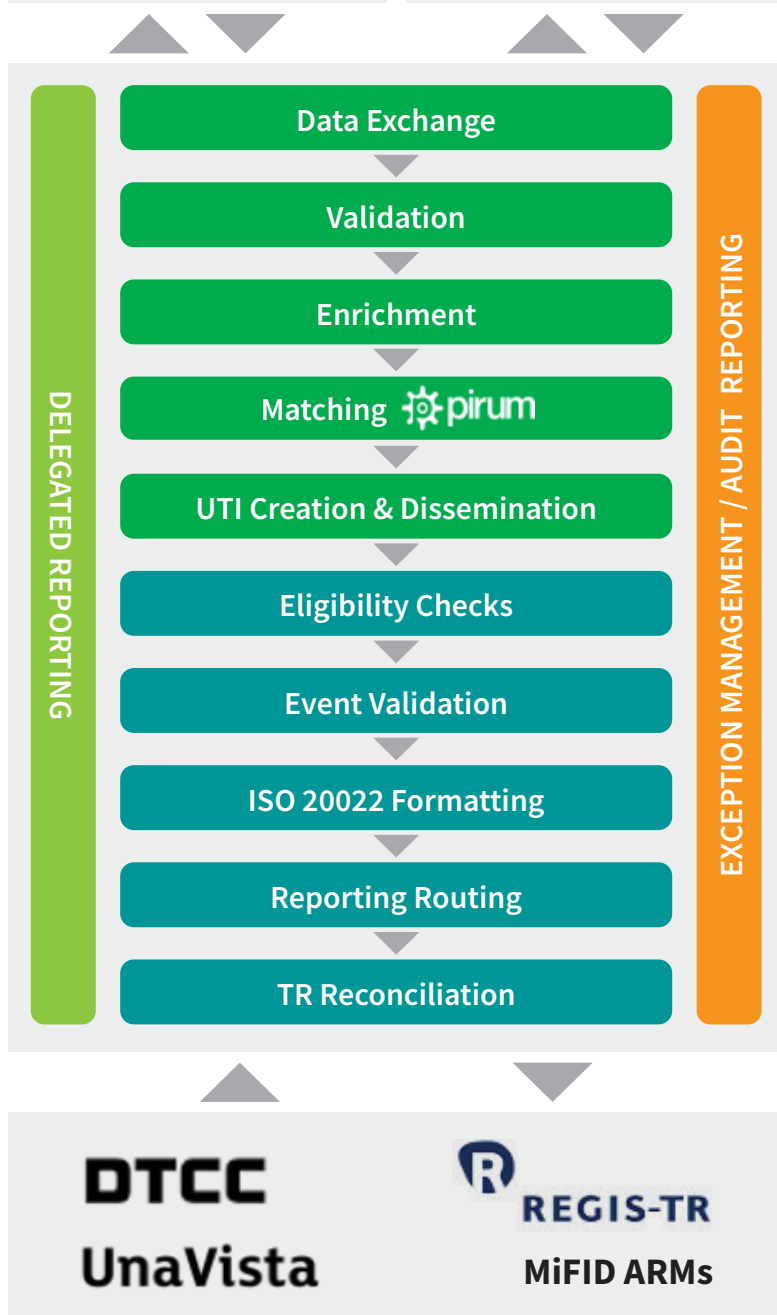
IHS Markit SFTR Solution – Overview

Third Party providers

- TRI PARTY AGENT
- CCP
- TRADING PLATFORM
- POST TRADE SERVICES

Customized Pipelines

- Client Source System 1
- Client Source System 2
- Static Data



For more information on how IHS Markit can help you meet your regulatory obligations, please contact:

SFTR@ihsmarkit.com

[ihsmarkit.com](https://www.ihsmarkit.com)

About IHS Markit

IHS Markit (NYSE: INFO) is a world leader in critical information, analytics and expertise to forge solutions for the major industries and markets that drive economies worldwide. The company delivers next-generation information, analytics and solutions to customers in business, finance and government, improving their operational efficiency and providing deep insights that lead to well-informed, confident decisions. IHS Markit has more than 50,000 business and government customers, including 80 percent of the Fortune Global 500 and the world's leading financial institutions. Headquartered in London, IHS Markit is committed to sustainable, profitable growth.

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