

# Risk & Regulatory Managed Services

Empowering new possibilities

Enhance your firm's efficiency, compliance and reputation with an end-to-end outsourced managed service, access to leading market data and a proven delivery model powered by a network of highly experienced operational and regulatory experts.



IHS Markit®

# Global markets face new challenges



Today's buy-side and sell-side organizations face unprecedented challenges as they navigate rapid change and unpredictable headwinds in an accelerating global market. The challenges of mitigating risk while meeting increasingly stringent regulatory requirements have pushed firms to the limit. And as the regulatory environment becomes more complex, so do the transactions that flow through it across multiple jurisdictions.

But success requires more than risk mitigation. In a competitive world, market participants need to manage costs, enhance efficiencies and demonstrate transparency and responsiveness in order to build trust and strengthen valued relationships with clients and stakeholders.

## Navigate complexity with confidence

IHS Markit enables buy-side and sell-side teams to address their toughest operational challenges and transform efficiency and client service. Combining industry leading data, services and expertise that connect a network of market participants across asset classes, we strengthen and streamline the end-to-end regulatory compliance function. Our world-class outsourced and managed services alleviate the pressure on internal teams, reduce operational costs, accelerate the workflow, mitigate risk and enhance reporting accuracy.

The breadth and depth of our services enhance business-critical functions for the entire regulatory cycle—including counterparty outreach, onboarding, KYC, regulatory compliance, corporate actions, tax withholding and reporting, third-party risk management and post-trade processing. Our experts can also conduct health checks to test the resilience of your risk and compliance processes or help you manage the remediation process after an audit.





Counterparty  
outreach



Audit management  
and health checks



Know your  
customer



Regulatory  
change



Third party  
risk



Tax withholding  
and reporting



Corporate  
actions



Post-trade  
processing





# Robust, integrated solutions

Trade faster

Work smarter

Get results



IHS Markit Risk and Compliance Managed Service goes beyond the traditional managed service offering. Our team of industry experts deliver confidence with a model that solves complex challenges, supports the entire regulatory cycle and revolutionizes performance for the front, middle and back office.



## Expertise

Delivery teams include operational and regulatory experts who meet all global regulatory requirements and are fully versed in industry best practices and regulatory change management. Our team members additionally possess deep domain expertise in the data sets and technology platforms that automate, accelerate and enrich service delivery.



## Data

As one of the largest data, insight and analytics firms in the world, IHS Markit enriches every service engagement with access to market intelligence that drives regulatory and operational insight and efficiency. Our managed service relies on validated corporate actions and a wealth of trend, pricing, reference and market data that our service teams can leverage to support your organization.



## Services

Our clients benefit from access to a dedicated team of outsourced and managed service providers who can support their risk, compliance and reporting requirements. Teams include a blend of on-, near- and off-shore resources to ensure flexible, efficient service delivery.



## Workflow

We offer technology and workflow solutions that support and streamline the interactions between your firm and the IHS Markit service team and enhance service delivery in areas such as KYC, counterparty management, tax compliance and validation, securities processing and the validation and analysis of corporate actions data.

Scale up  
seamlessly

Focus on what  
matters

Discover new  
opportunities



# Compliance is in our DNA

The IHS Markit Risk and Compliance Managed Service is powered by the world's largest global network of dealers and clients, the largest connected community of financial counterparties and the largest source of validated corporate actions data in the world.

## ● Global OTC network

**90,000+**

OTC derivatives  
processing  
actions per day

**2,500+**

buy-side institution  
connections

**100+**

dealer  
connections

**70+**

inter-dealer broker  
connections





**12,000+**  
buy-side customers

**100,000+**  
trading accounts

**200,000+**  
legal entities

**150+**  
dealers and  
brokers

## Financial counterparty community



## Corporate actions data



**3+**  
million equities, fixed  
income and structured  
securities

**1.4+**  
million corporate  
actions manually  
validated every  
year

**150+**  
customers

**170+**  
countries and  
territories



Discover how the IHS Markit Risk and Compliance Managed Services can transform your operations. For more information please visit [ihsmarkit.com/riskcompliancemanagedservices](https://ihsmarkit.com/riskcompliancemanagedservices)

## CUSTOMER CARE

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## About IHS Markit

IHS Markit (NYSE: INFO) is a world leader in critical information, analytics and expertise to forge solutions for the major industries and markets that drive economies worldwide. The company delivers next-generation information, analytics and solutions to customers in business, finance and government, improving their operational efficiency and providing deep insights that lead to well-informed, confident decisions. IHS Markit has more than 50,000 business and government customers, including 80 percent of the Fortune Global 500 and the world's leading financial institutions. Headquartered in London, IHS Markit is committed to sustainable, profitable growth.