



Counterparty Manager: Request for Amendment

Providing an automated solution for the buy-side and sell-side to process, manage, and maintain umbrella trading documentation through request for amendment letters.

The Request for Amendment (RFA) tool on Counterparty Manager enables firms to initiate requests for adding, removing, and modifying legal entities on existing bilateral umbrella trading documentation. The RFA tool centralizes this process while maintaining a master list of legal entities and their applicable data attributes.

The process to initiate amendments currently relies on postal mail or email. Letters are generated and sent manually and there is no way to centrally track their status. Reconciliation between the buy-side and sell-side is complicated and time-consuming without a golden source to track amendments.

Counterparty Manager provides a central portal to generate and transmit amendment letters in bulk, while linking related onboarding and regulatory data to the letter. Simultaneously, the ability for the sell-side to approve accounts individually or all at the same time has proven to reduce onboarding times up to 50%.

The automated service enables the buy-side and sell-side to have complete visibility of onboarding and document status. Additionally, a centrally maintained master list alleviates the need to reconcile spreadsheets, determine active versus offboarded accounts, and track changes in name and data to covered accounts.

Centralize Information

Provides a portal to manage the amendment process and maintain a master list of legal entities updated in real time facilitating account onboarding

Reinforce Security

Offers an electronic signature process in accordance with industry and jurisdictional standards while maintaining a secure archive of amendment letters

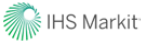
Enhance Transparency

Integrated dashboard allows users to view the status of each amendment letter and account onboarding requests

Increase Efficiency

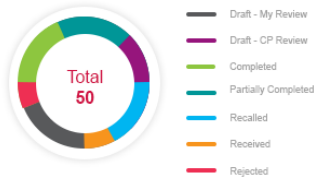
Ability to initiate multiple amendments for all of your trading counterparties at once

All Bilateral Umbrella Document types currently supported


Request for Amendment
Welcome, Sender 10

RFA DASHBOARD
COUNTERPARTY MANAGER

RFA Status



Pending Tasks

15
Exhibit Completion

11
Electronic Signature

24
Send RFA

Quicklinks

[Create Request for Amendment](#)
[Create Letter Template](#)
[Letter Template Library](#)
[Create Masterlist Template](#)

[Masterlists](#)
[Create Exhibit Template](#)
[Exhibit Template Library](#)
[Bulk Upload RFA](#)

Request for Amendment
Completed RFA

Clear Filters
⚙️
↑

REF. MASTER AGREEMENT DATE
MASTERLIST IDENTIFIER
PARTY A
PARTY B LEGAL NAME
PARTY B CLIENT IDENTIFIER
PARTY B PRE-LEI / LEI

PARTY B ACTIONED
REQUEST STATUS
RFA ID
AGREEMENT TYPE
SUBMITTED DATE

All
All
All
All
All
All

Rejected
Pending
All
All
All
All

50 Request for Amendment

+ Party B Requested
 + Addition
 - Removal
 + Modification


+ Party B Actioned
 + Accepted
 - Pending
 - Rejected
 - Withdraw

My Status
Legal
Manager

Export
Bulk Actions

RFA ID	Ref. Master Agree. Date	Master Identifier - Agreement Type	Party A	Party B Requested	Party B Actioned				Request Status	Submitted Date	My Status	Next Step	WetSign Progress	Actions	Select All	
					Accepted	Rejected	Pending	Withdrawn								
+ 1000004478	05-Nov-17	MF002 - ISDA CSA	New RFA Test 05	3	2	1	0	0	Complete	05-Nov-17	L M		---	🕒	☑️	
+ 1000003442	13-Jun-16	40'S Act - ISDA	WetSign Bank PLC	2	1	0	1	1	Draft	13-Jun-16	L M	07	04	Notified	🕒 🔄 🕒	☑️
+ 1000002455	28-Sep-17	MF051 - GMRA	WetSign Bank PLC	1	2	0	1	0	Complete	28-Sep-17	L M		---	🕒	☑️	
+ 1000001455	23-May-17	MF006 - MSFIA	WetSign Bank PLC	4	0	1	0	0	Draft	23-May-17	L M	01	02	Signed	🕒 🔄 🕒	☑️
+ 1000004577	19-Dec-17	MF002 - ISDA CSA	New RFA Test 05	1	0	0	0	1	Complete	19-Dec-17	L M	01	02	Notified	🕒	☑️
+ 1000003444	13-Jun-16	40'S Act - ISDA	WetSign Bank PLC	0	4	2	2	0	Submitted	13-Jun-16	L M	01	02	Signed	🕒 🔄 🕒	☑️
+ 1000005587	28-Sep-17	MF051 - GMRA	New RFA Test 05	2	6	3	2	0	Complete	28-Sep-17	L M		---	🕒	☑️	
+ 1000007562	19-Dec-17	MF002 - ISDA CSA	New RFA Test 05	1	0	0	0	1	Complete	19-Dec-17	L M	01	02	Signed	🕒	☑️
+ 1000004503	13-Jun-16	40'S Act - ISDA	WetSign Bank PLC	0	4	2	2	0	Submitted	13-Jun-16	L M		---	🕒 🔄 🕒	☑️	
+ 1000005587	28-Sep-17	MF051 - GMRA	New RFA Test 05	2	6	3	2	0	Complete	28-Sep-17	L M		---	🕒	☑️	

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