

Compliance for CLO Managers

A modern, intuitive user experience for the management of compliance tests

CLO managers are obligated to adhere to the compliance policies and procedures outlined in the CLO indenture. Navigating within the bounds and limitations of the indenture requires detailed credit risk analysis, trade lifecycle management and compliance test monitoring.

Compliance for CLO Managers streamlines the credit risk management process and automates the execution of compliance tests required by the governing indenture and collateral management agreements.

Our industry leading solution ensures you will receive accurate reports that help you manage your portfolio more effectively and efficiently, while eliminating the amount of time spent performing custom analytics and creating in-house reports.

The compliance dashboard uses data sets from WSO Compliance and incorporates a visualization layer which transforms the user experience and allows for ultimate flexibility in viewing test results via a web-based application hosted within AWS.

Key Features

Web-based platform

Deploy features to production quickly and eliminate the need for upgrades through frequent, iterative release cycles.

Real-time test views

Visualize new test results in real-time within the compliance dashboard.

Interactive drilldowns

Utilize the extensive click-through ability to drill down from high level summary views into the most granular test details. Drill through to different views based on Test Category, Pass/Fail Status, Data Sets and more.

Data transparency

Use the drill-down functionality to view the breakout of positions that make up the test. Easily distinguish positions that contributed to the test result and a comprehensive list of positions that were tested.

Cross-deal views

View test results for multiple deals simultaneously, increasing the visibility of common breaks across deals and providing a holistic snapshot of the overall health of your portfolios.

Historical test results

Access historical, point-in-time data sets based on effective date or execution date/time of the test run, increasing auditability and offering the choice to include or exclude material backdated activity on new runs with the same effective date.

User management

Extend user reach across your organization with user setup and authorization managed directly on the website by a designated Company Administrator.

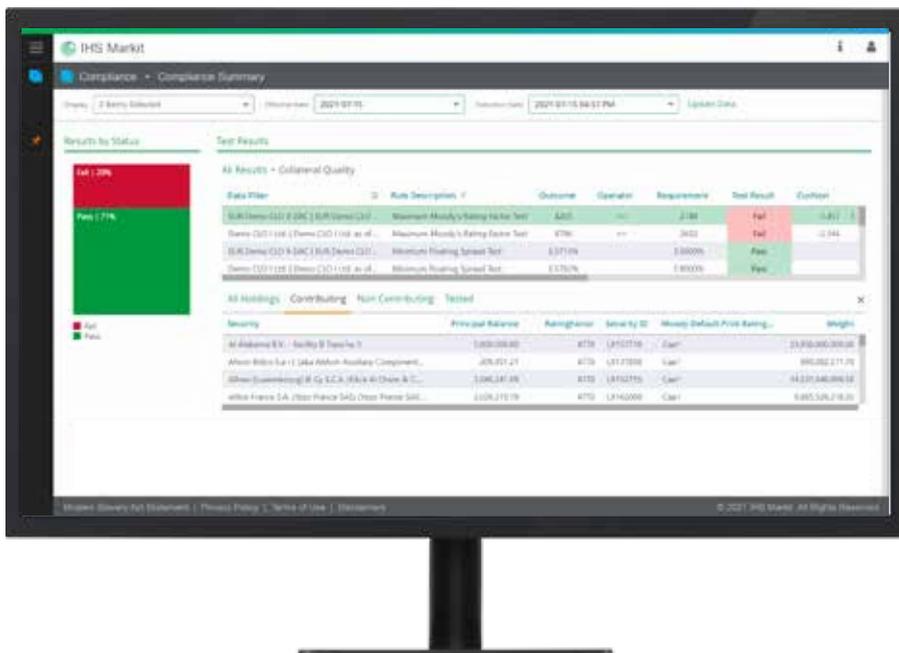
Support

Enjoy in-application support that includes direct access to documentation and user guides, plus walk-through tutorials of screens and new features as they are released.

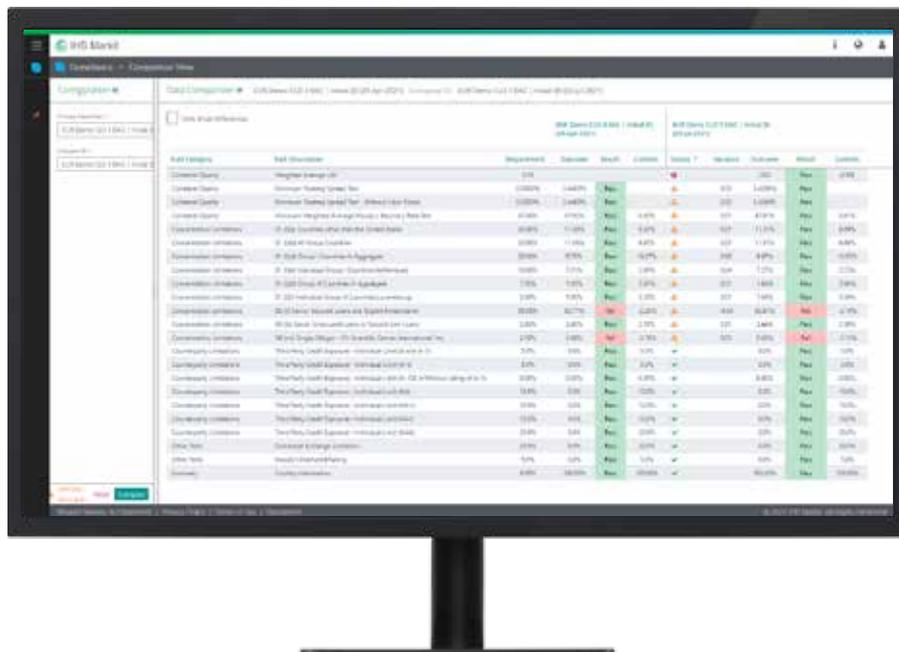
Comparison View

Reconcile test result differences with ease through the Comparison View screen, a powerful tool that performs a 'side by side' comparison of test results across multiple data sets, or across multiple points in time for a single data set. Differences are highlighted for easy identification and accompanying drilldowns detail the changed data points.

Drill down to see the position level details of individual test results:



Compare test results:



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