IHS Markit®

# Tax Solutions: Electronic Subscription Documents

## Automating the collection of subscription documents for quicker onboarding

Managing investor onboarding and ongoing due diligence compliance monitoring is critically sensitive and administratively overwhelming. This increasing regulatory burden has also affected the investor's experience, with a real impact on client-facing relationships.

Our Electronic Subscription Documents (eSubDocs) solution enables funds and administrators to securely manage the onboarding process, electronically obtain subscription materials and incorporate investor data.

It provides a better client onboarding experience and reduces the possibility of data discrepancies that trigger due diligence concerns and duplicate documentation requests. Our platform streamlines the investor experience and allows them easy access to their data across investments. Built-in tailored logic ensures investors only provide information relevant to their investor type (individual, entity, trust, etc) and their residences.

### Increase Efficiency

Manage investor due diligence reviews on a central platform and gain access to investor data

### Manage Information

Centralize disparate data sources and improve data quality and control

### Address Compliance Obligations

Address multiple compliance requirements including AML/KYC, Tax, CRS and FATCA (including IGAs) from a single platform

### Enhance Onboarding

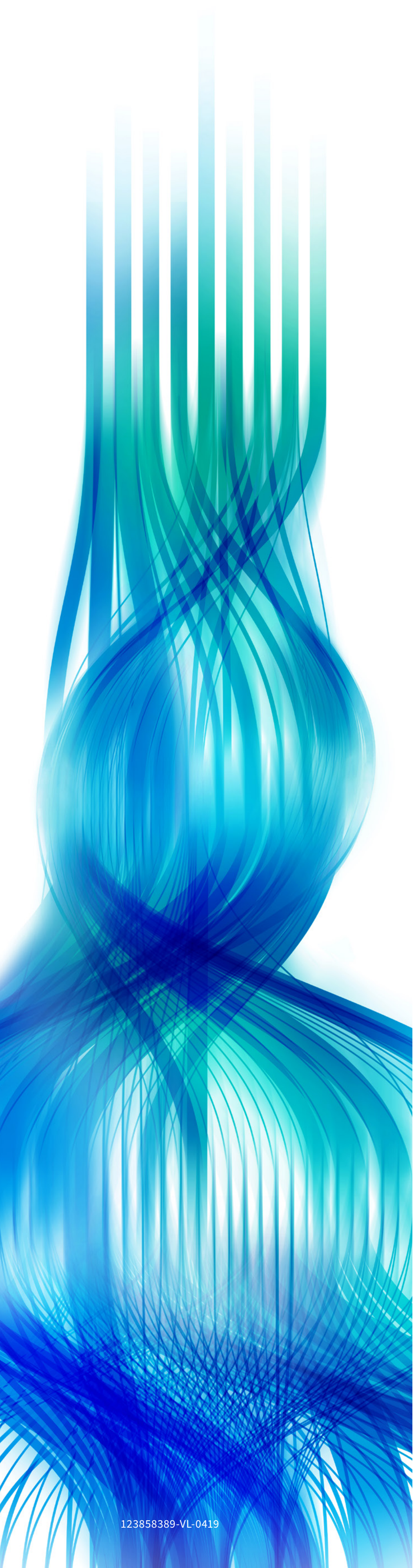
Automate the collection of subscription documents for quicker onboarding

### Reduce Costs

Reduce costs associated with onboarding investors and compliance monitoring

### Streamline Documentation

Reduce the need to collect and store duplicate documents or complete multiple paper forms



The platform integrates with our E-W8™ application by providing data for pre-population of Part I of the tax Forms W-8 series. The application automatically creates CRS Self-Certifications that may be validated by our CRS Due Diligence tool.

## For investors:

Create a single user account to manage multiple investment opportunities in one central platform.

The platform enables you to:

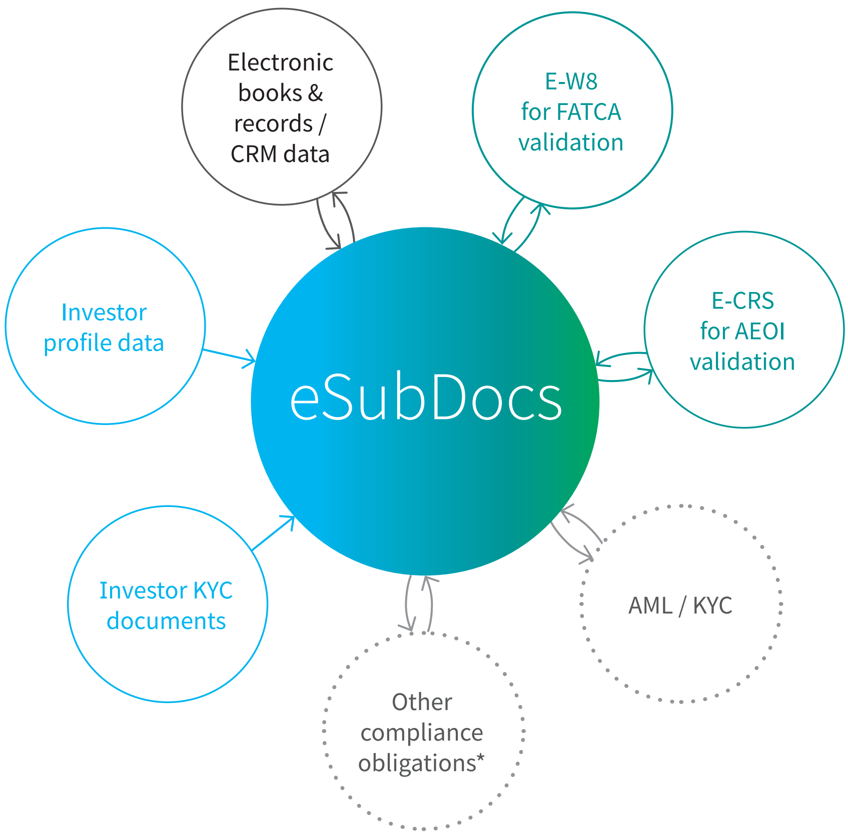
- Provide static data via an online interview
- Sign subscription documents electronically
- Upload supporting documentation in real time
- Permission other individuals to review or edit and upload required information
- Reuse information and documentation from previously completed subscriptions for new investment opportunities

## For funds and fund administrators:

Manage your documentation and due diligence obligations for investor onboarding and ongoing compliance for AML/KYC, tax, FATCA (both onshore and offshore) and CRS obligations.

The platform enables you to:

- Enter paper subscription documents from investors
- Provide fund, legal and compliance staff with access to investor subscription documents for review and sign-off
- Countersign subscription agreements singly or in bulk
- Run investor status and administrative reports




## For more information on our suite of products and services please visit us at ihsmarkit.com/riskandregcompliance

MK-TaxSolutions@markit.com